

Structural Brain Abnormalities in Chronic Schizophrenia at the Extremes of the Outcome Spectrum

Wouter G. Staal, M.D., Ph.D.
 Hilleke E. Hulshoff Pol, Ph.D.
 Hugo G. Schnack, Ph.D.
 Neeltje E.M. van Haren, M.S.
 Nicole Seifert, M.S.
 René S. Kahn, M.D., Ph.D.

Objective: This study investigated the relationship between outcome and structural brain abnormalities in schizophrenia.

Method: Intracranial volume and volumes of the cerebrum, gray and white matter, lateral and third ventricles, frontal lobes, thalamus, and cerebellum were measured in 20 patients with a

poor outcome, 25 with a favorable outcome, and 23 healthy comparison subjects with magnetic resonance imaging.

Results: Thalamic volume was significantly smaller both in poor-outcome patients and good-outcome patients. In contrast, only poor-outcome patients displayed significantly smaller cerebral gray matter, particularly prefrontal, and enlargement of the lateral and third ventricles. No significant differences were found for intracranial, cerebellar, or cortical CSF volumes.

Conclusions: Smaller thalamic volumes in schizophrenia may reflect a greater susceptibility for the disorder and seem unrelated to outcome. In contrast, gray matter volume loss of the cerebrum, particularly in the frontal lobes, and lateral and third ventricular enlargement appear related to outcome in schizophrenia.

(*Am J Psychiatry* 2001; 158:1140–1142)

Structural brain abnormalities, including ventricular enlargement and decrements in gray matter volume (1, 2), play an important role in the pathology of schizophrenia. Smaller volumes of gray matter occur in the neocortex as well as in subcortical structures, such as the thalamus (3), amygdala, and hippocampus (1, 2).

If the structural brain abnormalities of schizophrenia were related to the illness process, one would expect schizophrenic patients with a poor outcome to display more extensive brain abnormalities than schizophrenic patients with a relatively good outcome. To test this hypothesis, intracranial volume and volumes of the cerebrum, gray and white matter, thalamus, frontal lobes, lateral and third ventricles, and cerebellum were compared in patients with schizophrenia at the extremes of the outcome spectrum and healthy comparison subjects.

Method

Twenty patients with a poor outcome, 25 patients with a good outcome, and 23 healthy comparison subjects participated after written informed consent was obtained. A diagnosis of DSM-IV schizophrenia was established by means of the Comprehensive Assessment of Symptoms and History (4). Subjects with a major medical or neurological illness, an IQ below 80, a history of having received ECT, or a history of substance dependence were excluded.

Poor-outcome patients had been hospitalized for more than 50% of their total duration of illness and had been continuously hospitalized over the past 3 years. Good-outcome patients had been hospitalized for less than 10% of their total duration of illness and were not hospitalized during the past year. All patients needed to have a minimum duration of illness of 15 years to ensure a reliable outcome measure (5).

The three groups did not differ significantly in age, gender, or handedness. The two patient groups did not differ significantly in doses of antipsychotic medication. Symptom profile, level of functioning, and cognitive functioning were evaluated by means of the Positive and Negative Syndrome Scale (6), the Disability Assessment Schedule (7), the Global Assessment Scale (8), and the Mini-Mental State Examination (9).

With a Philips (Eindhoven, the Netherlands) 1.5 T scanner, T₁-weighted scans with 1.2-mm contiguous coronal slices and dual contrast turbo spin echo scans with 1.6-mm-thick contiguous coronal slices of the whole head were acquired. Intracranial matter, cerebral gray and white matter (whole brain, excluding the cerebellum and brainstem), frontal lobes (10), lateral and third ventricles, cortical CSF, and cerebellum volumes were measured automatically (11), whereas the thalamus was measured manually (12).

The interrater reliability determined by the intraclass correlation coefficient (ICC) of the intracranial volume was 0.99; for the cerebrum, ICC=0.99; for the cerebellum, ICC=0.95; for the lateral ventricles, ICC=0.99; for the third ventricle, ICC=0.95; for the left thalamus, ICC=0.78; and for the right thalamus, ICC=0.74.

Volumetric differences between the three groups were analyzed for each structure with repeated measures analysis of covariance (ANCOVA) by using a group-by-side (left and right) and, if applicable, side-by-matter (gray and white) design with intracranial volume as the covariant. Post hoc two-tailed t tests were used to evaluate which group contributed most to the significant effects of the ANCOVAs.

Results

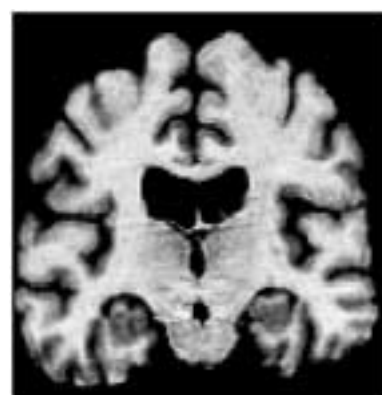
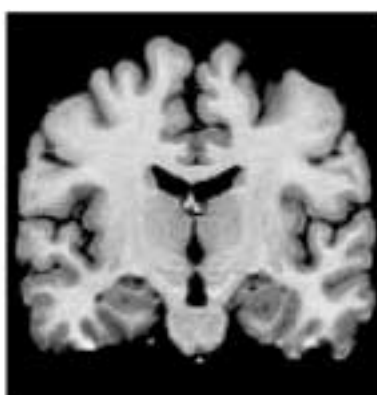
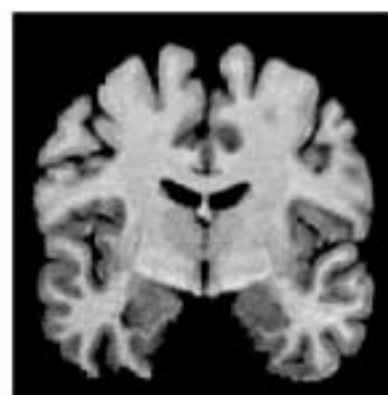
Clinical characteristics are listed in Table 1. Poor-outcome patients displayed significantly more positive, negative, and general symptoms and were more severely impaired in cognitive and social functioning than good-outcome patients. Eleven of the poor-outcome patients were taking typical antipsychotics, and nine were taking

TABLE 1. Absolute Regional Brain Volumes and Clinical Characteristics of Patients With Schizophrenia With Poor Outcome, Patients With Good Outcome, and Healthy Comparison Subjects

Structure and Clinical Characteristic	Patients With Schizophrenia				Healthy Comparison Subjects (N=23)	
	Poor Outcome (N=20)		Good Outcome (N=25)		Mean	SD
	Mean	SD	Mean	SD		
Brain regional volume (mm ²)						
Intracranium	1519.63	157.37	1515.25	125.57	1487.25	113.45
Cerebrum	1100.62	115.40	1100.94	111.07	1118.21	111.80
Cerebral gray matter ^a	581.31	66.80	610.47	77.36	640.33	91.63
Cerebral white matter	519.32	72.54	490.47	70.69	477.88	64.94
Frontal lobe ^a	268.61	30.10	272.44	32.39	276.73	29.74
Frontal gray matter ^a	146.02	16.30	152.31	19.03	161.45	19.96
Frontal white matter	122.59	17.73	120.13	17.47	115.29	12.79
Total thalamus ^a	11.73	1.58	11.74	1.22	12.35	1.10
Total lateral ventricles ^a	24.85	10.62	19.32	9.06	15.05	8.30
Third ventricle ^a	1.46	0.69	1.14	0.60	0.85	0.45
Cortical CSF	236.81	58.73	243.10	73.85	201.70	67.74
Total cerebellum	141.30	13.44	137.05	11.39	138.00	8.83
Clinical characteristics						
Positive and Negative Syndrome Scale scores						
Positive symptoms ^b	20.9	6.2	16.4	5.8		
Negative symptoms ^b	22.2	6.0	16.0	9.8		
General symptoms ^b	39.9	9.8	32.4	6.5		
Score on Mini-Mental State Examination ^b	27.3	6.5	32.7	2.1		
Score on Disability Assessment Schedule ^b	8.3	3.1	2.7	1.9		
Score on Global Assessment Scale ^b	3.8	0.9	1.8	0.6		
Cumulative duration of hospitalization (years) ^b	17.8	6.0	1.1	1.2		
Age at onset (years)	20.5	3.8	22.6	3.5		
Antipsychotic dose (haloperidol units, mg/day)	10.1	7.1	7.1	6.4		

^a Significant difference among groups ($p < 0.05$, ANCOVA with intracranial volume as covariate).

^b Significant difference between groups ($p < 0.05$, two-tailed t test).

FIGURE 1. Coronal Sections of the Brains of a Patient With Schizophrenia With Poor Outcome, a Patient With Good Outcome, and a Healthy Comparison Subject**Patient With Poor Outcome****Patient With Good Outcome****Healthy Comparison Subject**

atypicals. Nineteen of the good-outcome patients were receiving typical antipsychotics, and six were receiving atypicals. Regional brain volumes are also listed in Table 1 and Figure 1. No significant main effects or interactions were found among intracranial, cortical CSF, or cerebellar volumes. No significant interactions between group and side were found for any of the volume measures.

A significant interaction of group and matter ($F = 6.3$, $df = 2$, 64 , $p < 0.01$) was found, which could mainly be attributed to a significantly smaller gray matter volume in poor-outcome patients than in healthy comparison subjects ($t = 2.4$, $df = 41$, $p < 0.02$), whereas the gray matter volume of the

good-outcome patients did not significantly differ from those of the other two groups.

A significant main effect of group ($F = 3.3$, $df = 2$, 64 , $p < 0.05$) was found for frontal lobe volume (Figure 1), which could mainly be attributed to a significantly smaller frontal lobe volume in the poor-outcome patients than in the healthy comparison subjects ($t = 2.5$, $df = 41$, $p < 0.02$), whereas the frontal lobe volume of the good-outcome patients did not significantly differ from those of the other two groups.

A significant interaction of group and frontal lobe matter was found ($F = 10.9$, $df = 2$, 64 , $p < 0.01$), which was mainly

caused by a difference between poor-outcome patients and healthy comparison subjects in the volumes of frontal lobe gray matter ($t=2.8$, $df=41$, $p<0.01$), whereas the volumes of frontal lobe gray matter did not differ from that of good-outcome patients and those of the other two groups.

A significant main effect of group was found for lateral ventricle volume ($F=5.5$, $df=2$, 64 , $p<0.01$), which could mainly be attributed to a larger lateral ventricle volume in poor-outcome patients than in healthy comparison subjects ($t=3.4$, $df=41$, $p<0.01$), whereas the lateral ventricle volume of good-outcome patients did not significantly differ from those of the other two groups.

A significant main effect of group was found for third ventricle volume ($F=5.4$, $df=2$, 64 , $p<0.01$), which could mainly be attributed to a significantly larger third ventricle volume in poor-outcome patients than in healthy comparison subjects ($t=3.5$, $df=41$, $p<0.01$), whereas third ventricle volume in good-outcome patients did not significantly differ from those of the other two groups.

A significant main effect for group was found for thalamic volume ($F=3.5$, $df=2$, 64 , $p<0.05$), which could be attributed to smaller thalamic volumes both in poor-outcome patients as well as in good-outcome patients than in healthy comparison subjects ($t=2.3$, $df=41$, $p<0.03$, and $t=2.3$, $df=46$, $p<0.03$, respectively), whereas thalamic volumes did not significantly differ between poor- and good-outcome patients.

Discussion

In this study the relationship between outcome and structural brain abnormalities in schizophrenia was investigated by comparing patients with schizophrenia at the extremes of the outcome spectrum with healthy comparison subjects.

Thalamic volumes were smaller in the patients with schizophrenia, irrespective of their outcome. In contrast, the poor-outcome patients displayed much smaller gray matter volumes of the cerebrum (particularly in the frontal lobes) and larger lateral and third ventricles than patients who had a favorable course of illness.

These results suggest that the smaller thalamic volumes found in the patients with schizophrenia than in the healthy comparison subjects may reflect a greater susceptibility for the disorder that is unrelated to outcome, whereas the smaller gray matter volumes in the cerebrum, particularly in the frontal lobes, and the larger lateral and third ventricles appear related to poor outcome in schizophrenia.

Recently, we reported that patients with schizophrenia, but not their healthy siblings, displayed smaller volumes of the frontal lobe gray matter (11), which suggests that in contrast to smaller thalamic volumes, the smaller volumes of the prefrontal gray matter may require additional risk factors that occur exclusively in patients with schizophrenia. Poor-outcome patients may possibly have a greater

number of such risk factors than good-outcome patients. These factors may include environmental risk factors, such as malnutrition during pregnancy (13), obstetric complications at the time of birth (14), or genetic risk factors, such as a larger number of genes carrying risk or genes with a stronger effect on the disease (15).

Received Sept. 5, 2000; revision received Jan. 19, 2001; accepted Jan. 25, 2001. From the Department of Psychiatry, University Hospital Utrecht. Address reprint requests to Dr. Staal, Department of Psychiatry, University Hospital Utrecht, Heidelberglaan 100, 3584 CX Utrecht, the Netherlands; w.staal@psych.azu.ne (e-mail).

References

- Schultz SK, Andreasen NC: Schizophrenia. *Lancet* 1999; 353: 1425–1430
- Wright IC, Rabe-Hesketh S, Woodruff PWR, David AS, Murray RM, Bullmore ET: Meta-analysis of regional brain volumes in schizophrenia. *Am J Psychiatry* 2000; 157:16–25
- Andreasen NC, Arndt S, Swayze V II, Cizadlo T, Flaum M, O'Leary D, Ehrhardt JC, Yuh WTC: Thalamic abnormalities in schizophrenia visualized through magnetic resonance image averaging. *Science* 1994; 266:294–298
- Andreasen NC, Flaum M, Arndt S: The Comprehensive Assessment of Symptoms and History (CASH): an instrument for assessing diagnosis and psychopathology. *Arch Gen Psychiatry* 1992; 49:615–623
- Staal WG, Hulshoff Pol HE, Kahn RS: Outcome of schizophrenia in relation to brain abnormalities. *Schizophr Bull* 1999; 25: 337–347
- Kay SR, Fiszbein A, Opler LA: The Positive and Negative Syndrome Scale (PANSS) for schizophrenia. *Schizophr Bull* 1987; 13:261–276
- Jablensky A, Ernberg G, Hugler H, Canavan K, Sikkens J: WHO Psychiatric Disability Assessment Schedule (WHO/DAS). Geneva, World Health Organization, 1988
- Endicott J, Spitzer RL, Fleiss JL, Cohen J: The Global Assessment Scale: a procedure for measuring overall severity of psychiatric disturbance. *Arch Gen Psychiatry* 1976; 33:766–771
- Folstein MF, Folstein SE, McHugh PR: "Mini-Mental State": a practical method for grading the cognitive state of patients for the clinician. *J Psychiatr Res* 1975; 12:189–198
- Mandl RCW, Hulshoff Pol HE, Collins DL, Ramsey NF, Baaré WFC, Staal WG, Kahn RS: Automatic volume measurement in schizophrenia: non linear or linear transformation? (abstract). *Neuroimage* 1999; 6:112
- Staal WG, Hulshoff Pol HE, Schnack HG, Hoogendoorn MLC, Jellema K, Kahn RS: Structural brain abnormalities in patients with schizophrenia and their healthy siblings. *Am J Psychiatry* 2000; 157:416–421
- Staal WG, Hulshoff Pol HE, Schnack H, van der Schot AC, Kahn RS: Partial volume decrease of the thalamus in relatives of patients with schizophrenia. *Am J Psychiatry* 1998; 155:1784–1786
- Hulshoff Pol HE, Hoek HW, Susser E, Brown AS, Dingemans A, Schnack HG, van Haren NEM, Ramos LMP, Gispén-de Wied CC, Kahn RS: Prenatal exposure to famine and brain morphology in schizophrenia. *Am J Psychiatry* 2000; 157:1170–1172
- McNeil TF, Cantor-Graae E, Weinberger DR: Relationship of obstetric complications and differences in size of brain structures in monozygotic twin pairs discordant for schizophrenia. *Am J Psychiatry* 2000; 157:203–212
- Gottesman II: Schizophrenia Genesis: The Origins of Madness. New York, WH Freeman, 1991