Smoking Habits, Current Symptoms, and Premorbid Characteristics of Schizophrenic Patients in Nithsdale, Scotland

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Objective: Previous studies of smoking habits of schizophrenic patients have found rates as high as 88%. The authors report the smoking habits of all known schizophrenic patients within a discrete geographical area and compare them with the smoking habits of a general population sample. Method: All known schizophrenic patients in Nithsdale in South-West Scotland (N=168) were invited to complete a questionnaire on smoking habits. Also assessed were mental state, drug-related side effects, and premorbid childhood personality and social adjustment. Results: One hundred thirty-five of the 168 patients returned the questionnaires. The rate of smoking among the patients was 58% (N=78), compared with 28% in the general population. Sixty-eight percent of the patients who smoked (N=53) had 25 or more cigarettes per day. The mean age at starting smoking was 17 years in both patients and normal subjects. Ninety percent of the patients who smoked (N=70) started smoking before the onset of schizophrenia. Patients who smoked were younger than nonsmokers, and more of them were male. They had had more hospitalizations, and more were in contact with psychiatric services. More were receiving intramuscular antipsychotic medication. Smokers had poorer childhood social adjustment. Among the female patients, there was a positive correlation between age at starting smoking and age at onset of schizophrenia. Conclusions: The rate of smoking and level of nicotine addiction are greater in schizophrenic patients than in the general population. Smoking may be a marker for the neurodevelopmental form of the illness and may be another environmental risk factor for schizophrenia in vulnerable individuals.

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Cigarette smoking is frequently observed in schizophrenic patients (1–5). One study has reported the rate to be as high as 88% (1), nearly three times the rate in the general population and higher than the elevated rates of smoking among patients with other psychiatric illnesses

Why schizophrenic patients smoke at high rates remains elusive (6–8). There are three main possibilities. First, the illness itself may lead patients to smoke. Pos-

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sibly, patients with schizophrenia self-medicate with nicotine to alleviate both positive and negative symptoms as well as to improve cognition (6). These putative beneficial effects of nicotine may be mediated through the regulation of a dysfunctional mesolimbic dopamine system (9). It has been reported that there is a worsening of psychotic symptoms on stopping smoking and subsequent nicotine withdrawal (10, 11). Second, smoking may be an etiological risk factor in schizophrenia. It may be that repeated activation by nicotine of the mesolimbic system over a lengthy period of time precipitates the onset of schizophrenia in vulnerable individuals. Third, genetic or environmental factors might predispose individuals both to develop schizophrenia and to start smoking. Much work in the genetics of both schizophrenia (12) and nicotine addiction (13) has focused on dopamine receptors. Possible pathophysiological links between smoking and schizophrenia have been the subject of a recent review (14).

A drawback to previous studies is that all have reported on restricted samples of schizophrenic patients, for example, inpatients (5) and outpatients (1, 15). None has reported on the smoking habits of all known schizophrenic patients in a discrete geographical area and compared them with the smoking habits of a local general population sample. In the present study we report on the smoking habits of schizophrenic patients living in Nithsdale, South-West Scotland, and compare them with the smoking habits of samples from the general population of South-West Scotland and from a national population. We also report clinical differences between smoking and nonsmoking schizophrenic patients.

METHOD

Setting

Nithsdale is a well-defined area in the Dumfries and Galloway Region, South-West Scotland, United Kingdom. It is largely rural, covering 550 square miles with a population of 57,000. All psychiatric care is provided by Crichton Royal Hospital in Dumfries and its associated hospital and community services.

Patient Identification

The identification of patients has been described in detail elsewhere (16–18). Briefly, there have been regular censuses of patients with schizophrenia in Nithsdale since 1981. Patients in the present study were identified in the 1996 census (April 1, 1996). They included all inpatients, day patients, and outpatients on the census date known to Crichton Royal Hospital who had a diagnosis of schizophrenia and whose home address was in Nithsdale. General practitioners in Nithsdale were given a list of schizophrenic patients in their practice known to the hospital and asked to update it. All general practitioners replied. In addition, community psychiatric nurses, voluntary agencies, and social workers were asked to identify any other schizophrenic patients. Over the years, this "key informant" method has allowed us to identify, trace, and interview schizophrenic patients living in Nithsdale.

Because part of the study was a replication of the initial census undertaken in 1981 (16), patients were defined as having schizophrenia if they fulfilled ICD-9 criteria (19). This is a broad definition; however, a 1997 Nithsdale study (17) showed that 87% of patients with ICD-9 schizophrenia also fulfilled OPCRIT-derived (20) DSM-III-R criteria.

The 1996 census identified 168 patients with an ICD-9 diagnosis of schizophrenia (2.92/1,000 general population). Eighty-seven (52%) were male, mean age=46 years (SD=15), mean length of illness=19 years (SD=13); 81 (48%) were female, mean age=55 years (SD=17), mean length of illness=24 years (SD=14). On the census date, 29 (17%) were inpatients, 45 (27%) day patients, 50 (30%) outpatients, 22 (13%) were receiving mainly community psychiatric nurse support, and 22 (13%) were in contact only with their general practitioner.

Assessment

Patients were asked to complete a questionnaire about their smoking habits; the same questionnaire was used in the Health and Lifestyle Survey of the general population in South-West Scotland (21, 22). Patients completed this self-report questionnaire under supervision of the examining psychiatrist or nurse.

As part of the comparison of the patients identified in 1981 with those identified in the 1996 census, assessment of patients' mental state was made by using the Manchester Scale (23). On this scale, each of nine symptoms is scored from 0 (absent) to 4 (severe). A symptom was defined as present if it had a score of 2 (mild) or higher.

Side effects of medication were also assessed: parkinsonism was explored with the Simpson-Angus Rating Scale (24) and the Target-

ing Abnormal Kinetic Effects Scale (25) and dyskinesia with the Abnormal Involuntary Movement Scale (AIMS) (26) and Research Diagnostic Criteria for Tardive Dyskinesia (27).

The following demographic and clinical information was known: age at onset of illness (as estimated from first contact with psychiatric services or hospital admission), number of hospital admissions, and current medication.

Measures of premorbid social adjustment and personality were available for 36 patients who completed the smoking questionnaire because in a previous study their mothers had been interviewed about their children's premorbid personality and social adjustment (28). Premorbid personality was assessed by using the Scale for Assessment of Premorbid Schizoid and Schizotypal Traits (29), which examines behavior between 5 and 16 years of age. Premorbid social adjustment was assessed by using the Premorbid Social Adjustment Scale (29), which assesses two age periods, 5–11 years and 12–16 years.

Statistical Analysis

Differences in proportions were measured by using the chi-square test. Normally distributed data are presented with means and standard deviations, and significance was tested by Student's t test. Correlations were determined by using Pearson's correlation. Tests were two-tailed.

RESULTS

The smoking questionnaire was returned by 135 (80%) of the 168 patients; 73 (54%) of the respondents were male and 62 (46%) were female. However, seven patients, all smokers, did not complete the questionnaire fully; therefore, numbers vary slightly in different categories.

Comparison With Smoking Habits in the General Population

Table 1 shows the smoking habits of the 135 schizophrenic patients, a 1995 general population sample in the same area of South-West Scotland (Dumfries and Galloway) (22), and a 1994 general population sample in the United Kingdom (30). More patients than subjects in the two general population samples reported that they currently smoked, and more had smoked at some time in their lives. The quit ratio (the number who gave up smoking divided by the number who were currently smoking plus those who had given up smoking) was lower in patients.

Seventy-one percent of male and 42% of female patients were current smokers compared with 28% of males and 26% of females in the general population. The quit ratio among male patients was lower than that in the general population, but among female patients and females in the general population it was broadly similar (table 1). Although there were similar numbers of male and female smokers in the general population, more male than female patients smoked (χ^2 =11.8, df=1, p=0.001). More female than male patients had given up smoking (χ^2 =6.5, df=1, p=0.01). The mean age at starting smoking was 17 years (SD=5) in patients and normal subjects (22); there were no between-sex differences.

Table 2 shows the smoking habits by age and sex for patients and for the U.K. general population sample.

TABLE 1. Smoking Habits of 135 Patients With Schizophrenia in Nithsdale, Scotland, and Samples of Local and National General Populations^a

	Currently Smoke			Formerly Smoked		r ed ^b	Quit Ratio	Never Smoked	
Study Group	N	%	N	%	N	%	(%) ^c	N	%
Males and females									
Patients (N=135) ^d	78	58	21	15	99	73	21	36	27
Local general population sample (N=502)	141	28	125	25	266	53	47	236	47
National general population sample (N=16,750)	4,523	27	4,282	26	8,805	53	49	7,945	47
Males									
Patients (N=73) ^e	52	71	6	8	58	79	10	15	21
National general population sample (N=7,642)	2,140	28	2,369	31	4,509	59	53	3,133	41
Females									
Patients (N=62) ^f	26	42	15	24	41	66	37	21	34
National general population sample (N=9,108)	2,368	26	1,913	21	4,281	47	45	4,827	53

^a The local general population sample was taken from the Health and Lifestyle Survey in the Dumfries and Galloway area (22). The national general population sample was taken from the General Household Survey in the United Kingdom (30).

b Sum of subjects who currently smoke and subjects who formerly smoked.

TABLE 2. Smoking Habits by Sex and Age of 135 Patients With Schizophrenia in Nithsdale, Scotland, and in a National General Population Sample^a

Study Group	Currently Smoke		Formerly Smoked		Ever Smoked		Never Smoked	
by Sex and Age	N	%	N	%	N	%	N	%
Males								
Age of patients (years)								
16-34 (N=16) ^b	11	69	2	13	13	81	3	19
35-59 (N=44) ^c	36	82	0	0	36	82	8	18
≥60 (N=13)	5	38	4	31	9	69	4	31
Age in general population (years)								
16-34 (N=2,340)	805	34	280	12	1,085	46	1,255	54
35-59 (N=3,366)	997	30	1,060	31	2,057	61	1,309	39
≥60 (N=1,936)	348	18	1,065	55	1,413	73	523	27
Females								
Age of patients (years)								
16-34 (N=9)	6	67	0	0	6	67	3	33
35-59 (N=26) ^d	13	50	6	23	19	73	7	27
≥60 (N=27)	7	26	9	33	16	59	11	41
Age in general population (years)								
16-34 (N=2,892)	906	31	345	12	1,251	43	1,641	57
35-59 (N=3,596)	983	27	757	21	1,740	48	1,856	52
≥60 (N=2,620)	445	17	760	29	1,205	46	1,415	54

^a The national general population sample was taken from the General Household Survey in the United Kingdom (30).

Within each of the three age bands and for both sexes more patients than normal subjects were current smokers. These differences achieved statistical significance in males aged 16–34 and 35–59 and in females aged 35–59.

More patients who smoked were classed as heavy smokers; 53 (68%) of the patients who smoked were smoking 25 or more cigarettes daily (37 [71%] of male and 16 [63%] of female smokers), compared with 11% of the smokers in the local general population (21) (χ^2 =15.6, df=1, p< 0.0001).

Twenty-six (33%) of the patients who smoked reported that they wanted to give up smoking (22 [42%] of male and four [15%] of female smokers), compared with 60% of smokers in the local general population (22).

Smoking Among the Schizophrenic Patients

Sixty-three percent [N=15] of inpatients, 62% [N=21] of day patients, 65% [N=26] of outpatients, and

^c Number of subjects who formerly smoked divided by number who ever smoked, multiplied by 100.

^d Significant differences between patients and local general population in rates of current smoking and never smoking (χ^2 =32.8, df=1, p< 0.0001) and between patients and national general population in rates of current smoking and never smoking (χ^2 =48.9, df=1, p<0.0001).

e Significant differences between patients and national general population in rates of current smoking and never smoking ($\chi^2 = 36.0$, df=1, p<0.0001).

f Significant differences between patients and national general population in rates of current smoking and never smoking (χ²=9.6, df=1, p= 0.002).

^b Significant differences between patients and national general population sample in rates of current smoking and never smoking (χ²=7.5, df=1, p=0.006).

c Significant differences between patients and national general population sample in rates of current smoking and never smoking (χ²=24.5, df=1, p<0.0001).</p>

^d Signifficant differences between patients and national general population sample in rates of current smoking and never smoking (χ^2 =6.8, df=1, p=0.009).

TABLE 3. Use of Psychotropic Medication by 135 Patients With Schizophrenia in Nithsdale, Scotland, Who Did or Did Not Smoke

		Smokers (N=78)		Nonsmokers (N=57)		
Type of Medication Used	N	%	N	%		
Antipsychotic						
Intramuscular ^a	39	50	13	23		
Oral ^b	35	45	40	70		
No antipsychotic	4	5	4	7		
Atypical antipsychotic ^c	26	33	30	53		
Antiparkinsonian	20	26	14	25		
Antidepressant ^d	9	12	16	28		

 $^{^{\}rm a}$ Significantly more smokers used medication ($\chi^2=9.2,$ df=1, p= 0.003).

TABLE 4. Mental State of 130 Patients With Schizophrenia in Nithsdale, Scotland, Who Did or Did Not Smoke

	_	okers =75)		nsmokers (N=55)
Mental Symptom	N	%	N	%
Delusions	32	43	22	40
Hallucinations	18	24	9	16
Incongruity of affect	12	16	3	5
Incoherence of speech	14	19	4	7
Flat affect	37	49	20	36
Poverty of speech	22	29	16	29
Depression	10	13	15	27
Anxiety	19	25	12	22
Retardation	4	5	7	13

and 25% [N=9] of community-nurse-supported patients and patients only in contact with their general practitioner were smokers (χ^2 =8.4, df=3, p=0.04).

Seventy (90%) of the patients had started to smoke before the onset of their schizophrenic illness; the mean age at starting smoking preceded the mean age at onset of illness by 11 years (SD=10). There was a positive correlation between age at starting smoking and age at onset of illness in all patients (r=0.29, df=69, p=0.04). This relationship remained significant for female patients (r=0.47, df=24, p=0.02) but not for male patients (r=0.08, df=47, p=0.58).

Smokers and Nonsmokers Among the Schizophrenic Patients

Among the schizophrenic patients, there were more males among the 78 smokers (N=52 [67%]) than among the 36 nonsmokers (N=15 [42%]) (χ^2 =11.8, df=1, p=0.001). Smokers were younger (mean age=45, SD=13) than nonsmokers (mean age=56, SD=18) (t=3.80, df=131, p<0.001). The age at onset of illness was lower in smokers (mean=27, SD=10; males mean=27, SD=9; females mean=28, SD=11) than in nonsmokers (mean 31=years, SD=13; males mean=30, SD=13; females mean=32, SD=13), but these differences were not

TABLE 5. Parkinsonism and Tardive Dyskinesia in 124 Patients With Schizophrenia in Nithsdale, Scotland, Who Did or Did Not Smoke

Measure of Parkinsonism or Tardive Dyskinesia	Smokers (N=72)			Nonsmokers (N=52)		
	Mean	SD	Mean	SD		
Simpson-Angus Rating Scale score ^a	0.09	0.1	0.13	0.2		
	Ν	%	Ν	%		
Patients with abnormal movements according to Targeting Abnormal Kinetic Effects Scale Bradykinesia Rigidity Tremor ^b Patients with tardive dyskinesia according to Abnormal Involuntary Movement Scale and Research Diagnostic Criteria for Tardive Dyskinesia	18 29 22	25 40 31	16 20 25	31 39 48		
Males and females	32	44	18	35		
Males	36	50	12	23		
Females	23	32	21	40		

^a Higher scores indicate more parkinsonism.

statistically significant. Smokers had had more hospital admissions (mean=7.5, SD=7) than nonsmokers (mean=5, SD=5) (t=2.09, df=131, p=0.04). Both male (mean=7, SD=6) and female (mean=8.4, SD=8.6) smokers had had more admissions than male (mean=4.6, SD=3.4) and female (mean=5.5, SD=6.2) nonsmokers, but the difference was statistically significant only in males (t=2.17, df=70, p=0.03, versus t=1.48, df=59, p=0.13).

Table 3 shows the relationship between smoking and medication use among the schizophrenic patients. Smokers were significantly more likely to be receiving intramuscular antipsychotic medication and less likely to be receiving oral and atypical antipsychotics and antidepressants.

Table 4 shows the mental state findings for the smoking and nonsmoking schizophrenic patients. There were no significant between-group differences in the individual symptoms rated.

Table 5 shows the drug side effect profile for smoking and nonsmoking schizophrenic patients. Significantly more nonsmokers exhibited tremor. Twice as many male smokers had tardive dyskinesia than nonsmoking males, but the difference was not statistically significant.

The mean scores on the Premorbid Social Adjustment Scale for ages 5–11 and for ages 12–16 and the mean scores on the Scale for Assessment of Premorbid Schizoid and Schizotypal Traits for smoking and nonsmoking schizophrenic patients are shown in table 6. Smokers scored significantly higher than nonsmokers on the Premorbid Social Adjustment Scale for ages 5–11 and for ages 12–16, which indicates they had a poorer premorbid social adjustment at both ages 5–11 and ages 12–16.

^b Significantly more nonsmokers used medication (χ^2 =7.5, df=1, p= 0.006).

^c Significantly more nonsmokers used medication (χ^2 =4.3, df=1, p= 0.04).

^d Significantly more nonsmokers used medication (χ^2 =4.9, df=1, p= 0.03).

^b Significantly more nonsmokers had symptom (χ^2 =4.8, df=1, p= 0.03).

DISCUSSION

We have found that the rate of cigarette smoking in schizophrenic patients from a discrete geographical area is more than twice that of a local population sample (58% versus 28%). The rate of 58% is lower than that found in other studies, but these studies looked at samples of patients who were more likely to be young and male (1-5), who have higher rates of smoking. To our knowledge, our study is the first to examine the smoking habits of patients with schizophrenia independent of selection bias. The differences between smoking rates in patients and in the general population narrow when we compare subjects who have smoked at some time in their lives (73% versus 53%). However, we found that many fewer schizophrenic patients than subjects in the general population are able to quit, especially among male patients (10% versus 53%).

Within the general population, there are groups where the smoking rate is high. For example, in 1991, the National Child Development Survey in the United Kingdom (31) found that the rate of smoking in 33-year-old men who had no academic qualifications and lived in subsidized housing was 67%. This is a rate similar to that found in our young male patients, many of whom also had no academic qualifications and lived in subsidized housing. However, we believe our comparison with a general population sample is more valid because at the time our patients first started smoking (in their teenage years), they were distributed widely through a variety of educational and social backgrounds.

The rate of smoking in the general population sample, 28%, is similar to that found in other countries in the developed world. For example, in 1989, in six countries (Australia, Canada, Norway, Sweden, the United Kingdom, and the United States) the rate in males ranged from 24% to 41%, and in females, 27% to 33% (32). There is little information about the rates in developing countries.

Earlier in this article, we considered three possibilities to explain the association between high rates of smoking and schizophrenia. In the Nithsdale patients, it cannot be the symptoms of the illness itself or the effects of "institutionalization" that drove patients to smoke because 90% of the patients started smoking before the first episode of illness. However, patients who smoke were more poorly socially adjusted in their childhood than nonsmokers. Perhaps premorbid traits, rather than florid symptoms, lead schizophrenic individuals to smoke. Poor social adjustment in childhood is a feature of the neurodevelopmental form of schizophrenia (33); other features include male sex, early age at onset of illness, and a more severe form of illness (33). These features were found in our smoking patients, whose severity of illness was measured by contact with psychiatric services and numbers of admissions.

These results suggest that either premorbid characteristics typically found in neurodevelopmental schizophrenia lead to smoking or genetic and environmental

TABLE 6. Premorbid Personality and Social Adjustment of 36 Patients With Schizophrenia in Nithsdale, Scotland, Who Did or Did Not Smoke

		Score			
	Smol (N=2		Nonsmokers (N=13)		
Measure ^a	Mean	SD	Mean	SD	
Scale for Assessment of Premorbid Schizoid and Schizotypal Traits Premorbid Social Adjustment Scale	2.26	1.86	2.08	1.89	
Ages 5–11 years ^b Ages 12–16 years ^c	13.13 13.44	4.40 4.70	10.92 10.85	1.98 2.73	

^a Higher scores indicate poorer premorbid personality and social adjustment.

factors lead both to neurodevelopmental schizophrenia and smoking. However, a third possibility is raised by our finding in women that the earlier the age at starting smoking, the earlier was the onset of schizophrenia. Might heavy nicotine consumption over a prolonged period of time, especially in vulnerable individuals, be another environmental risk factor in schizophrenia, through its action on the mesolimbic dopamine system?

The other principal difference between patients and the general population sample was that the level of addiction among patients was much higher: 68% of the smoking patients smoked 25 or more cigarettes per day, compared with 11% of general population smokers. There are several possible explanations for why patients smoke so much. Nicotine, like other drugs of addiction such as cocaine and amphetamine, activates the mesolimbic dopamine system (34); this appears to be of critical importance for the reinforcing and reward properties of the drug (35). However, patients were also receiving antipsychotic drugs, which produce marked dopamine receptor blockade. Possibly a very high level of smoking is necessary to overcome this blockade and produce the reward effects. It has been shown that, compared with baseline, patients with chronic schizophrenia smoke more after starting haloperidol (36). It is also possible that schizophrenic patients smoke at high levels to self-medicate both positive and negative symptoms, although in our patients there was no difference between smokers and nonsmokers in the level of such symptoms. Because we believe that our smoking patients were drawn from a group that was more severely ill, however, we cannot rule out the possibility that nicotine is helping the patients' mental states.

There were differences between smoking and nonsmoking patients in antipsychotic medication patterns. More smokers were receiving intramuscular antipsychotic medication and fewer were receiving oral and atypical antipsychotics. We find this hard to explain, but once again we could postulate that smoking is a marker for neurodevelopmental schizophrenia; schizo-

^b Score of smokers was significantly higher (t=2.05, df=32, p= 0.05).

^c Score of smokers was significantly higher (t=2.09, df=33, p= 0.05).

phrenic patients who are more severely ill and more difficult to manage may be more likely to receive intramuscular drugs in the United Kingdom. More non-smokers than smokers were receiving antidepressant medication. Nicotine's positive effects on enhancing mood have been well described in the general population, and it may be that our smoking patients were successfully modifying their mood state with their heavy and regular consumption of nicotine.

Although more nonsmokers than smokers were receiving atypical antipsychotics, said to produce less extrapyramidal symptoms (37), side effects were largely similar for both groups. Several studies have reported a lower rate of drug-induced extrapyramidal side effects in smokers (2, 10, 38), others have reported no between-group differences (3, 39, 40), but none has reported higher rates; this includes a study finding that smokers on average were receiving twice the dose of neuroleptics of nonsmokers (2). Smoking increases neuroleptic metabolism by inducing hepatic microsomal enzymes (41).

Although the difference between smokers and nonsmokers was not statistically significant, more male smokers (50%) had tardive dyskinesia. In three previous studies, two (39, 40) found no relationship between smoking and tardive dyskinesia and one (42) found tardive dyskinesia to be more common among smokers. A study of smoking in a population of normal men, few of whom had been exposed to neuroleptics (43), found that 21% of men who smoked 20 or more cigarettes per day had dyskinesia. Cigarette smoke is rich in free radicals, which may cause structural damage to catecholaminergic neurons and, thus, tardive dyskinesia (44).

It is clear from our study and previous work that heavy cigarette smoking and severe nicotine addiction are intimately associated with the schizophrenic illness. More patients with schizophrenia start to smoke, and few give up smoking. The patients' high level of addiction almost certainly contributes to their reduced life expectancy (45). Schizophrenic patients who smoke are an even more disadvantaged group within a very vulnerable population.

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