Article

Neural and Cognitive Correlates of the Common and Specific Variance Across Externalizing Problems in Young Adolescence

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Objective: The authors sought to model the unique and common variance across

the unique and common variance across conduct disorder, substance misuse, and attention deficit hyperactivity disorder (ADHD) and to investigate the neurocognitive factors that relate generally or uniquely to externalizing problems in adolescence.

Method: Personality and behavioral measures and functional imaging responses to reward sensitivity and response inhibition tasks were assessed in 1,778 European adolescents at age 14 and, using structural equation modeling, were related to the unique and common variance across externalizing

problems assessed and modeled at ages 14 and 16.

Results: Externalizing problems best fit a general-specific model made up of a specific factor representing ADHD and conduct disorder symptoms, a specific factor representing substance misuse symptoms, and a common externalizing factor representing the variance shared among all symptoms. Common variance across externalizing problems was associated with high impulsivity and delay discounting as well as low blood-oxygen-level-dependent (BOLD) response in the substantia nigra and subthalamic nucleus but high BOLD response in the presupplementary motor area and precentral gyrus during successful inhibition. Unique variance for ADHD/ conduct disorder was associated with impulsivity, poor response inhibition, and high delay discounting, as well as low BOLD response in frontal brain areas bilaterally during failed inhibition. In contrast, unique variance for substance misuse was associated with high sensation seeking and delay discounting, as well as differential brain response to reward anticipation: high BOLD response in the left orbitofrontal cortex but low BOLD response in the left inferior frontal gyrus.

Conclusions: Personality, behavioral, and fMRI findings suggest that abnormalities in response inhibition, error processing, and reward processing are differentially implicated in underlying vulnerability specific to ADHD/conduct disorder and substance misuse and general to externalizing problems.

(Am J Psychiatry 2014; 171:1310-1319)

High rates of comorbidity between adult substance use and antisocial personality disorders on the one hand and childhood conduct disorder, oppositional defiant disorder, attention deficit hyperactivity disorder (ADHD), and substance misuse on the other suggest a shared etiology across such externalizing problems. These and other common comorbidities have inspired a trend in psychiatry to reformulate diagnostic categories from a dimensional and neuroscience perspective (e.g., the Research Domain Criteria [1]).

Accordingly, quantitative modeling of variance across externalizing problems (2, 3) suggests that much of the variance is common or shared and dimensional in nature (4). This research consistently supports a general-specific model of externalizing problems, with a latent general factor representing variance common to all externalizing symptoms and two specific factors representing variance that is unique to some conduct disorder symptoms (e.g., vandalism in adolescents) and substance misuse (e.g.,

This article is discussed in an Editorial by Dr. Iacono (p. 1236)

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binge drinking and frequency of drug use in adolescents). However, the role of ADHD, despite a high rate of comorbidity with conduct disorder and substance use disorders (5), was not investigated in these previous studies assessing the general-specific structure of externalizing problems.

Furthermore, while it is generally agreed that there is overlap in brain processes linked to substance misuse, conduct disorder, and ADHD, few studies have attempted to combine multivariate models of behavior with multivariate models of cognitive or brain function. There are several reasons for this, including small sample sizes and the fact that neuroimaging studies tend to work with a relatively simplistic phenotypic characterization (e.g., presence or absence of a particular diagnosis) and rarely account for other forms of comorbidity. In the investigation of the neurobiological correlates of externalizing problems, it is important that we accurately characterize the shared and the unique nature of these symptoms and investigate this sophisticated phenotypic characterization with state-of-the-art neuroimaging probes designed to engage the cortical and subcortical brain regions implicated in relevant brain functions. Doing so may reveal neurobiological profiles that explain underlying latent dimensions reflecting vulnerability to unique or broad psychiatric outcomes.

For example, while impulsivity has been identified as a risk factor for a variety of externalizing problems (6), it is known not to be a unitary construct (7, 8) but one that can be subdivided into at least two subfactors, one reflecting impulsive action (related to deficits in response inhibition) and another reflecting impulsive choice or decision making (often measured using a delay discounting task) (9). However, there is some debate as to whether impulsive choice involves two additional dissociable processes: 1) reward processing and 2) temporal perception or decision making (9). Deficits in impulsive action (poor response inhibition) have been reported in various externalizing problems, including ADHD (10), conduct disorder (11), and substance abuse (12), and are measured behaviorally using go/no-go and stop-signal tasks. Studies have shown that aggressive adolescent males and children with ADHD and conduct disorder make more commission errors in these tasks compared with control subjects (13, 14). The few studies that have investigated the neuropsychological correlates of externalizing problems from a dimensional perspective showed that self-reported impulsivity and poor response inhibition on a stop-signal task (impulsive action) prospectively predicted variance common to externalizing problems and specific to conduct disorder, whereas sensation seeking and reward sensitivity predicted variance specific to substance misuse (3, 13).

Functional neuroimaging studies involving response inhibition tasks implicate a number of brain regions involved in successfully inhibiting behavior, including frontal regions; frontal-striatal regions; left and right putamen; caudate; globus pallidus and thalamus; inferior frontal gyrus; insula and anterior cingulate; substantia nigra and subthalamic nucleus; orbitofrontal and medial gyri; presupplementary motor area; and a parietal network (15). Adolescents with ADHD show reduced responses in frontal and striatal regions of the brain when performing go/no-go and stop-signal tasks (5, 14). A study that controlled for comorbid cases (14, 16) found that abnormal blood-oxygenlevel-dependent (BOLD) response patterns specific to ADHD are seen in the prefrontal cortex and abnormalities common to ADHD and conduct disorder are seen in regions such as the insula and cingulate on trials of failed inhibition. By contrast, delay discounting (and the simple task of thinking about the future) involves the ventromedial prefrontal cortex and ventral striatum (17). Functional MRI (fMRI) studies investigating individual differences in brain response to reward magnitude (such as the monetary incentive delay task) also implicate the ventral striatum, but more recent studies using newer methodologies, such as functional connectivity, also highlight the role of the orbitofrontal cortex and its connectivity with the ventral striatum in reward processing (e.g., 18).

While few neuroimaging studies of substance-misusing individuals have controlled for comorbid personality or attentional problems, there is emerging evidence that individuals specifically prone to substance misuse can be distinguished from other clinically disinhibited groups based on impulsive choice and reward sensitivity, rather than general deficits in response inhibition (impulsive action). For example, high-functioning drug users (19) and adolescents with pure substance misuse profiles (13) show impulsivity and behavioral activation only when anticipating reward, but are otherwise quite controlled. While several studies suggest a relationship between substance misuse generally and poor delay discounting (e.g., 20), to our knowledge no study to date has investigated how these processes are linked to specific sets of symptoms within complex models of psychopathology that include latent constructs of shared and specific vulnerability.

In this study, using a large sample of adolescents assessed longitudinally through the IMAGEN study (21), we sought to determine whether the pattern of shared and unique variance across externalizing problems is best represented by a general-specific hierarchical structure (2, 3), whether that structure has predictive validity, and whether these latent factors can be dissociated from a neurocognitive endophenotype perspective (6). Similarly, based on previous theoretical and empirical research demonstrating that impulsivity is a complex construct represented by at least three cognitive processes, we hypothesized that impulsive action, impulsive choice, and reward processing would dissociate the core dimensions of externalizing problems, with impulsive action (as measured by response inhibition and the brain areas most implicated in stopping behavior, particularly frontal networks [15]) being associated with the variance specific to ADHD and/or conduct disorder symptoms (that does not covary with substance use symptoms), and sensation seeking and reward processing (implicating the ventral striatum and the orbitofrontal cortex) being most associated with the variance specific to substance misuse. Impulsive choice and related brain functions were hypothesized to be implicated in variance common to all externalizing problems.

Method

Participants

A total of 2,232 participants across eight European sites were recruited through high schools. Parents gave written informed consent and adolescents gave written assent to the study procedures before enrollment. All procedures were approved by each local institutional ethics committee. Further details on the study design, sample, and recruitment procedure, as well as data storage and safety, may be found elsewhere (21; see also the data supplement that accompanies the online edition of this article).

After data quality control, complete and reliable data sets were available for 1,778 participants with a mean age of 14.4 years (SD=0.35) and a balanced gender ratio (51% of them girls [N=912]). Follow-up data on externalizing symptoms were gathered at age 16 for 1,210 (68%) of these participants and were used to test the stability of externalizing symptoms and their structure across adolescence. Participants who attended the assessments at age 16 did not differ significantly from those who did not in demographic, behavioral, or cognitive variables, except in language (English, German, and French; English speakers were more likely to participate in the follow-up: odds ratio=3.44, 95% CI=2.55–4.64) and self-reported ADHD symptoms (those scoring higher on ADHD symptoms were less likely to participate: odds ratio=0.91, 95% CI=0.85–0.98).

Of the 1,778 adolescents, 4.4% (N=78) were identified as having a diagnosis of conduct disorder (N=37), ADHD (N=30), or both (N=11) according to the Development and Well-Being Assessment interview (22); 3.7% (N=65) reported problematic alcohol use, and 10.6% (N=189) reported drug use. At age 16, 6.3% (N=66/ 1210) were identified as having a diagnosis of conduct disorder (N=25), ADHD (N=31), or both (N=10); 18.0% (N=218) reported problematic alcohol use, and 27.1% (N=328) reported drug use.

Measures

All measures were selected on the basis of brevity, ageappropriateness, and validity in their variant forms (English, German, and French). They are described briefly below; for a more detailed description, see the online data supplement.

Externalizing problems. Self-report and parent-report behavioral and clinical measures were assessed through an online computer platform provided by Psytools (Delosis Research Technology, London) and administered at participants' homes, as well as the Development and Well-Being Assessment interview (22; www. dawba.com) and the Strengths and Difficulties Questionnaire (23), administered at the research site. Substance misuse was assessed using the Alcohol Use Disorders Identification Test (24) and the European School Survey Project on Alcohol and Drugs (25). Only externalizing symptoms were assessed at both ages 14 and 16.

Personality traits were assessed with the impulsivity and sensationseeking subscales of the self-reported Substance Use Risk Profile Scale (26). (For a discussion of the dissociation between impulsivity and sensation seeking and their psychometric properties, see references 3 and 13.) **IQ** and behavioral measures. To control for the general effects of intelligence on behavior and cognitive performance, estimates of intelligence were derived from the vocabulary and similarities subtests (verbal IQ) and block design and matrix reasoning subtests (performance IQ) of the Wechsler Intelligence Scale for Children, 4th Edition (27). Response inhibition was measured as number of commission errors in a go/no-go passive avoidance learning paradigm (28). In this task, participants are asked to learn, by trial and error, to respond to "correct" numbers and to withhold a response for "wrong" numbers by rewarding correct or punishing wrong go and no-go responses. Commission errors were used as an index of response inhibition. Delay discounting was assessed with the Kirby Delay Discounting Questionnaire (20), with larger k values indicating greater delay discounting of value for delayed options.

Neuroimaging tasks. Two MRI sessions were conducted, lasting 45 minutes each, each including a combination of structural and functional scans. Before each session, participants familiarized themselves with the scanner and tasks in a practice session. (Further information about the imaging procedure, with links to task specifications, is available at http://www.imagen-europe.com/en/Publications_and_SOP.php; see also reference 21.)

The stop-signal task (14) was used to measure brain response during successful and failed inhibition. Participants responded to regularly presented visual go stimuli (arrows pointing left or right) and were instructed to withhold their response when the go stimulus was followed (unpredictably) by a stop signal (arrow pointing upward). Stopping difficulty was manipulated across trials by varying the delay between the onset of the go arrow and the stop arrow (stop-signal delay) using a previously described tracking algorithm (14). There were two contrasts of interest, in which go trials were used as "implicit baseline": successful inhibition-go trial, and failed inhibition (commission error)-go trial. A comprehensive report on this task by Whelan et al. (15) identified, through factor analysis, seven brain networks involved in stop success in this sample of adolescents (basal ganglia; parietal cortex; orbitofrontal cortex; medial orbitofrontal cortex; substantia nigra and subthalamic nucleus; right frontal cortex; and presupplementary motor area) and six networks involved in stop failure (left and right frontal cortex; parietal cortex; posterior cingulate and medial orbitofrontal cortex; orbitofrontal cortex; substantia nigra and subthalamic nucleus; and basal ganglia). Factor scores from that analysis were used in the present study.

A modified version of the monetary incentive delay task (29) was used to assess brain response to reward anticipation. In the anticipation phase of this task, participants were presented with cues (which varied between 4 and 4.5 seconds) signaling the amount of reward that could be won in a given trial (large reward, small reward, or no reward). We focused on three regions of interest, in which mean activity levels for each contrast were extracted during the reward anticipation phase involving the contrast of large reward anticipation versus no reward anticipation. The regions of interest selected were the left and right ventral striatum, orbitofrontal cortex, and inferior frontal gyrus. As BOLD responses in the left and right ventral striatum activation score.

Data Analysis

A series of a priori structural equation models on substance misuse, ADHD, and conduct disorder symptom scores (incorporating symptom severity and impact) were analyzed using MPlus, version 6.12 (www.statmodel.com). Based on previously reported analyses (2, 3), several models were assessed for goodness of fit. FIGURE 1. General-Specific Model of Unique and Common Variance Across Externalizing Problems in Young Adolescence^a



^a ADHD=attention deficit hyperactivity disorder. Likelihood of ADHD and conduct disorder diagnoses refers to scaled likelihood of DSM-IV diagnosis according to band scores from the Development and Well-Being Assessment (22).

1. A single "externalizing problems" factor loading on all symptom scores.

2. Two hierarchical models, in which variables assessing conduct disorder symptoms (scaled likelihood of diagnosis, parentand self-reported symptoms, self-reported bullying behavior), ADHD symptoms (scaled likelihood of diagnosis, parent- and self-reported symptoms), and substance misuse variables (age at onset of drinking, number of drugs used, frequency of drunkenness, frequency of bingeing, the interaction of quantity and frequency of drinking, and drinking-related problems) loading onto specific conduct disorder, ADHD, and substance misuse subfactors, respectively, which then load onto a higher-order "externalizing problems" factor (model 2a) and a modified version of this model where conduct disorder and ADHD symptoms load onto the same specific ADHD/conduct disorder factor (model 2b).

3. Two general-specific models, in which a general externalizing factor was added at the same level as the specific conduct disorder, ADHD, and substance misuse factors from the previous (2a) model (model 3a), or the specific ADHD/conduct disorder and substance misuse factors from the previous (2b) model (model 3b). In these last general-specific models, factors were not allowed to covary (see Figure 1).

All models were fitted using a complex random-effects design to control for site as a cluster variable and using robust maximumlikelihood estimation. Unlike other studies on this topic, we incorporated variance in behavior across the entire population, not simply severity of symptoms in participants with diagnoses, which allowed us to investigate the extent to which continuous models of liability also represent variance with normal adolescent populations.

Once the best-fitting model was established, four sets of covariates (personality, cognitive indices, and brain response scores during the stop task and during the monetary incentive delay tasks) were each entered into the model separately.

Finally, stability of externalizing problems across adolescence was tested by fitting the model using follow-up data at age 16 (N=1,210) and assessing correlations between factor scores across time. All analyses controlled for gender, verbal and performance IQ, and language. The Benjamini-Hochberg procedure was used to correct for multiple testing in all analyses. See the online data supplement for further discussion of the analytic approach.

Results

Externalizing Problems Model Fit

Of the models tested, the general-specific model with the combined ADHD/conduct disorder and substance

TABLE 1. Fit Indices for Successive Structural Equation Mo	odels of Externalizing Problems in Young Adolescence ^a
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Model	χ^2	df	SCF	CFI	RMSEA	SRMR	AIC	BIC	$\Delta \chi^2$	Δdf	р
Models without covariates											
Model 1: one factor	1952.20	65	1.39	0.59	0.13	0.11	75489	75846	1158.5	13	< 0.001
Model 2a: hierarchical, three subfactors	389.57	62	1.53	0.92	0.06	0.04	73372	73745	51.7	10	< 0.001
Model 2b: hierarchical, two subfactors	567.57	64	1.55	0.88	0.07	0.06	73658	74020	157.4	12	< 0.001
Model 3a: general-specific (three specific)	336.88	52	1.55	0.94	0.06	0.04	73317	73745			
Model 3b: general-specific (two specific)	341.25	52	1.25	0.94	0.05	0.03	73221	73648			
Models with covariates											
Model 3b and IQ covariates ^b	374.31	75		0.94	0.05	0.03					
Model 3b and personality covariates ^b	487.72	97		0.93	0.05	0.04					
Model 3b and behavioral covariates (go/no-go and delay discounting task data) ^b	418.01	97		0.94	0.04	0.03					
Model 3b and stop-signal task data ^b	679.91	232		0.92	0.03	0.03					
Model 3b and monetary incentive delay task data (reward anticipation) ^b	554.08	136		0.92	0.04	0.03					

¹ Tests of goodness of fit include the chi-square and comparative fit indices (CFI), the standardized root mean square residual (SRMR), and the root mean square error of approximation (RMSEA). Hu and Bentler (30) suggest that acceptable model fit is indicated by SRMR values close to or below 0.08, RMSEA values close to or below 0.06, and CFI values close to or above 0.90. Models were compared using Akaike's information criterion (AIC) and the Bayesian information criterion (BIC), frequently used to compare nonnested models. Smaller values on both these measures indicate a better-fitting model while penalizing for increasing model complexity. Nested models were compared with the Satorra-Bentler scaled chi-square difference test for maximum likelihood parameter estimation using scaled correction factors (SCF): hierarchical subfactor models are compared with their corresponding general-specific model—that is, model 2b was compared with model 3b, while model 2a was compared with model 3a; the one-factor model was compared with both models 3a and 3b with similar results, but only the chi-square difference tests for the comparison with model 3b are presented. All chi-square difference tests indicated that the one-factor model and the hierarchical subfactors models fit significantly worse than the general-specific models. The general-specific model (model 3b, two specific factors) was deemed to represent the data best not only because it was the best-fitting model but also because ADHD and conduct disorder symptoms were strongly correlated (r=0.71), and once the common variance between ADHD, conduct disorder, and substance misuse was accounted for, variance for the specific conduct disorder factor did not reach significance.

^b Models included gender, language, and IQ as covariates.

misuse specific factors (model 3b) fit the data best (Table 1). Factor loadings for this model are presented in Table 2. The substance misuse factor reflected mostly variance unique to drinking. The ADHD/conduct disorder factor captured variance unique to ADHD with some loading from parentreported conduct disorder symptoms. With the exception of parent-reported ADHD symptoms, all substance misuse, ADHD, and conduct disorder variables loaded significantly on the general externalizing factor, with conduct disorder variables loading the strongest on this factor.

Personality, Cognitive, and Neural Covariates

All predictor models with covariates showed good model fit (Table 1). Table 3 presents regression paths between covariates and the general-specific factors of externalizing problems from model 3b, showing that lower verbal and performance IQ were significantly related to the ADHD/ conduct disorder factor only. Impulsivity was significantly associated with the ADHD/conduct disorder and general externalizing factors, but not substance misuse, while sensation seeking was significantly associated with the substance misuse factor only. Commission errors on the go/no-go task were associated with the ADHD/conduct disorder factor only, while steeper delay discounting was associated with all factors. In terms of regional brain response variables, the ADHD/conduct disorder factor was associated with lower BOLD response in frontal areas bilaterally during failed inhibition, the substance misuse

factor was not significantly associated with BOLD response during this task, and the general externalizing factor was associated with lower BOLD response during successful inhibition in the substantia nigra and subthalamic nucleus, and in the presupplementary motor area and precentral gyrus. Only the substance misuse factor was associated with differential BOLD response during reward anticipation on the monetary incentive delay task: higher BOLD response in the left orbitofrontal cortex and lower BOLD response in the left inferior frontal gyrus.

Predicting Externalizing Problems at Age 16

Externalizing problems data at age 16 best fit a generalspecific model as well, represented by ADHD/conduct disorder-specific, substance misuse-specific, and common externalizing factors, which were correlated strongly with corresponding factors at age 14 (see Table 4 for fit indices, factor loadings, and 2-year test-retest correlations between factors). When covariates at age 14, including externalizing problem factor scores, were added to the model at age 16, impulsivity at age 14 predicted the general externalizing factor at age 16 (b=0.15, p<0.05), sensationseeking at age 14 predicted substance misuse at age 16 (b=0.07, p<0.01), and go/no-go commission errors as well as lower BOLD response in frontal areas bilaterally during failed inhibition at age 14 predicted ADHD/conduct disorder at age 16 (for both, b=0.09, p<0.001). No other significant associations were observed between covariates (cognitive or

Predictor	Factor								
	ADHD/Con	duct Disorder	Substar	nce Misuse	General Externalizing Behavior				
	Load	р	load	р	Load	р			
Likelihood of conduct disorder diagnosis	0.27	< 0.001			0.55	<0.001			
Conduct disorder symptoms, self-reported	0.14	0.303			0.68	< 0.001			
Conduct disorder symptoms, parent-reported	0.46	< 0.001			0.41	< 0.001			
Likelihood of ADHD diagnosis	0.70	< 0.001			0.19	0.039			
ADHD symptoms, self-reported	0.39	< 0.001			0.35	0.016			
ADHD symptoms, parent-reported	0.79	< 0.001			0.21	0.191			
Bullying behavior, self-reported	0.08	0.002			0.26	< 0.001			
Age at drinking onset			0.36	< 0.001	0.33	< 0.001			
Number of drugs used			0.30	< 0.001	0.30	< 0.001			
Drunkenness frequency			0.70	< 0.001	0.32	< 0.001			
Bingeing frequency			0.77	< 0.001	0.33	< 0.001			
Drinking quantity by frequency			0.73	< 0.001	0.36	< 0.001			
Problem drinking symptoms			0.45	< 0.001	0.31	< 0.001			

TABLE 2. Factor Loadings for General-Specific Model 3b at Age 14 (N=1,778)^a

^a ADHD=attention deficit hyperactivity disorder; load=estimated standardized factor loadings. Likelihood of conduct disorder and ADHD diagnoses refers to scaled likelihood of DSM-IV diagnosis according to band scores from the Development and Well-Being Assessment (22).

brain imaging) at age 14 and externalizing problem factors at age 16.

Discussion

This study provides further support for the latent trait model of externalizing problems previously proposed by Krueger et al. (2) and validated in an adolescent sample by Castellanos-Ryan and Conrod (3), and it extends the model to include early-adolescent ADHD symptoms (as also recently demonstrated by Callagher et al. in adults [4]) and to demonstrate developmental stability. When such problems are modeled hierarchically, personality, cognitive, and neural measures of disinhibition dissociate in their relationship to the latent variables generated by the model, extending the validity and utility of the dimensionalspectrum conceptualization of externalizing problems. The findings suggest that while risk-taking behavior is observed in normal adolescents, personality and neurocognitive abnormalities appear to be associated with the tendency to commit these behaviors across multiple behavioral indicators, contexts, and time points, as reflected by the latent dimensions and their stability across time. At least three neurocognitive profiles were shown to dissociate dimensions of externalizing problems: self-reported sensation seeking (and reward sensitivity), impulsive action tendencies (poor response inhibition), and impulsive choice (delay discounting). Understanding a young person's dominant learning and motivational profile from this perspective might help in individualizing treatments and have an impact on a variety of behavioral outcomes.

The latent factor previously identified by Castellanos-Ryan and Conrod (3) as representing variance unique to conduct disorder was shown in this study to also include ADHD symptoms, and it was correlated with self-reported impulsivity scores, lower verbal and performance IQ, poor response inhibition, higher delay discounting, and a weaker BOLD response in the frontal cortex bilaterally (including the anterior cingulate, rostral caudate, and inferior frontal gyrus) during failed inhibition in a stop task. These findings are all consistent with a profile of poor frontal executive control involving impairments in both impulsive action and impulsive choice. Our findings are similar to those reported by Rubia et al. (31) showing that adolescents with a pure ADHD symptom profile had low BOLD response in prefrontal cortical brain regions during failed inhibition but not during successful inhibition. While a number of studies have also reported reduced right inferior frontal cortex activation in ADHD patients during successful stop trials (32), these previous findings may reflect co-occurrence of general externalizing tendencies, rather than the variance specific to ADHD/conduct disorder. This clinical profile appears best characterized by deficits in motor impulsivity regulated by prefrontal brain circuits, rather than reward sensitivity, and related subcortical brain circuits.

The general externalizing factor, which accounted for much of the variance in externalizing problems, was also strongly associated with self-reported impulsivity but was dissociated from the other externalizing dimensions on cognitive and neuroimaging measures of poor impulsive choice, low BOLD response in the substantia nigra and subthalamic nucleus, and high BOLD response in the presupplementary motor area/precentral gyrus during successful inhibition. These brain regions have been implicated in inhibitory control (33), with some findings suggesting that both networks have a more specific role in the motivation of action and the capacity to slow down to evaluate conflicting choices (34). The presupplementary motor area is thought to be associated with motivation for movement initiation by linking expected reward with TABLE 3. Standardized Parameter Estimates for Concurrent Associations Between Covariates and ADHD/Conduct Disorder, Substance Misuse, and General Externalizing Behavior Factors as Established in General-Specific Model 3b at Age 14 $(N=1,778)^a$

	Factor								
Predictor	ADHD/Conduc	ct Disorder	Substanc	e Misuse	General Externalizing Behavior				
	Estimate	р	Estimate	р	Estimate	р			
IQ									
Verbal	-0.17	<0.001	-0.02	0.376	0.03	0.756			
Performance	-0.16	<0.001	-0.10	0.023	-0.04	0.345			
Personality measures									
Impulsivity	0.27	<0.001	-0.03	0.549	0.53	<0.001			
Sensation seeking	0.01	0.746	0.11	0.009	0.06	0.241			
Behavioral measures									
Delay discounting task (k values)	0.06	<0.001	0.07	0.006	0.11	0.001			
Go/no-go task (commission errors)	0.09	0.007	0.02	0.410	0.04	0.230			
fMRI region-of-interest factors for stop-signal task success and failure									
Stop success									
Basal ganglia	-0.02	0.826	-0.06	0.132	-0.08	0.100			
Parietal cortex	-0.01	0.783	0.00	0.936	0.01	0.849			
Orbitofrontal cortex	0.03	0.394	0.01	0.767	-0.01	0.787			
Medial orbitofrontal cortex	-0.02	0.467	0.02	0.700	0.05	0.038			
Substantia nigra and subthalamic nucleus	0.08	0.043	0.07	0.062	-0.12	<0.001			
Right frontal cortex ^b	0.04	0.273	0.02	0.709	0.05	0.260			
Presupplementary motor area, precentral gyrus ^b	-0.01	0.839	0.06	0.020	0.14	0.001			
Stop failure									
Basal ganglia	0.09	0.121	0.01	0.892	0.06	0.475			
Orbitofrontal cortex	-0.01	0.804	-0.01	0.806	0.05	0.098			
Precentral cortex, medial orbitofrontal cortex	0.04	0.398	-0.05	0.180	0.03	0.317			
Parietal cortex ^b	-0.02	0.561	0.03	0.348	0.02	0.489			
Left and right frontal cortex ^b	-0.09	0.003	0.01	0.861	-0.05	0.446			
Substantia nigra and subthalamic nucleus	-0.01	0.881	0.05	0.345	-0.01	0.412			
fMRI regions of interest for reward anticipation (monetary incentive delay task)									
Left and right ventral striatum	-0.09	0.017	-0.02	0.429	0.00	0.945			
Right orbitofrontal cortex	0.02	0.595	0.02	0.573	-0.01	0.844			
Left orbitofrontal cortex	-0.02	0.653	0.05	0.010	0.00	0.987			
Right inferior frontal gyrus	0.04	0.417	0.01	0.770	0.04	0.293			
Left inferior frontal gyrus	-0.03	0.534	-0.09	<0.001	0.04	0.305			

^a ADHD=attention and hyperactivity disorder symptoms. Values in boldface are those that remained significant after controlling for multiple testing using the Benjamini-Hochberg procedure; these associations were also significant when using bootstrapping. All models include gender, language, and IQ as covariates and are clustered within study site. When all covariates (personality, behavioral, and imaging) were entered simultaneously into the same model, the significant effects indicated in boldface remained significant (and of the same size), with the exception of the effect of delay discounting on the ADHD/conduct disorder factor (β =0.05, p=0.067) and the general externalizing factor (β =0.06, p=0.152) and the effect of commission errors on the ADHD/conduct disorder factor (β =0.06, p=0.061). All covariates explained a total of 19%, 5%, and 34% of the variance in the ADHD/conduct disorder, substance misuse, and general externalizing factors, respectively, with behavioral and imaging measures explaining 4%, 3%, and 5% of the variance, respectively (based on R² values once the effects of personality and IQ where accounted for).

^b As loadings for these factors were negative (see Whelan et al. [15]), the direction of association has been inversed so that positive associations indicate higher BOLD response.

specific actions, rather than controlling whether an action should be made (35). Findings from the present study add to previous findings by showing that high BOLD response in the presupplementary motor area/precentral gyrus may be associated with vulnerability to externalizing problems in general, rather than substance use specifically (15).

The substance misuse-specific factor, reflecting mostly early onset and frequency and quantity of drinking, was associated with self-reported sensation seeking, high delay discounting, and high left orbitofrontal response and low left inferior frontal gyrus response when anticipating reward. These findings of a double dissociation in the neurocognitive profiles of ADHD/conduct disorder and substance misuse are consistent with previous reports (13) showing that sensation seeking and individual differences in reward response (previously measured using behavioral tasks) specifically predict vulnerability to binge drinking in adolescence. The orbitofrontal cortex has consistently

Predictor	Factor								
	ADHD, Disorder	/Conduct r at Age 16	Substanc Ag	e Misuse at ge 16	General Externalizing Behavior at Age 16				
	Load	р	Load	р	Load	р			
Likelihood of conduct disorder diagnosis	0.19	0.316			0.39	< 0.001			
Conduct disorder symptoms, self-reported	-0.15	0.647			0.82	< 0.001			
Conduct disorder symptoms, parent-reported	0.35	0.001			0.48	< 0.001			
Likelihood of ADHD diagnosis	0.44	< 0.001			0.35	0.007			
ADHD symptoms, self-reported	0.09	0.446			0.52	< 0.001			
ADHD symptoms, parent-reported	0.64	< 0.001			0.49	0.004			
Bullying behavior, self-reported	0.03	0.393			0.18	< 0.001			
Age at drinking onset			0.36	< 0.001	0.23	< 0.001			
Drug use frequency			0.51	< 0.001	0.23	< 0.001			
Drunkenness frequency			0.85	< 0.001	0.22	< 0.001			
Bingeing frequency			0.83	< 0.001	0.26	< 0.001			
Drinking quantity by frequency			0.84	< 0.001	0.24	< 0.001			
Problem drinking symptoms			0.56	< 0.001	0.16	< 0.001			
	r	р	r	р	r	р			
Correlations between factors									
ADHD/conduct disorder at age 14	0.56	< 0.001	-0.01	0.800	0.21	0.004			
Substance misuse at age 14	0.00	0.814	0.45	< 0.001	-0.03	0.392			
General externalizing behavior at age 14	-0.09	0.178	-0.07	0.062	0.61	< 0.001			

TABLE 4. Factor Loadings for General-Specific Model 3b at Age 16 (N=1,210)^a

^a ADHD=attention deficit hyperactivity disorder; load=estimated standardized factor loadings. Likelihood of conduct disorder and ADHD diagnoses refers to scaled likelihood of DSM-IV diagnosis according to band scores from the Development and Well-Being Assessment (22). Model fit of general-specific model at age 16: χ^2 =170.11, df=52, 1210, p<0.001; comparative fit index=0.96; root mean square error of approximation=0.04; standardized root mean square residual=0.03.

been identified as a key part of the reward-processing network (36), with high BOLD response in this brain area being associated with heightened attention to reward (37). The present findings are consistent with reports of frontolimbic (including the orbitofrontal cortex and inferior frontal gyrus) reward-processing deficits involved in alcohol problems (38) and further suggest a reward-related deficit in adolescents prone to early-onset frequent alcohol misuse, independent of other externalizing problems.

This study also demonstrates that the latent dimensions of externalizing problems are moderately stable and that personality, cognitive, and neural correlates of such dimensions also have longitudinal predictive validity. Considering the age of our participants at baseline (age 14) and their relatively minor substance use histories, these findings likely reflect underlying etiologic mechanisms rather than the long-term effects of substance use and suggest that prevention efforts directed at reducing underlying motivational and trait dimensions of risk (39, 40) could benefit from incorporating training components that target these brain functions (41). Furthermore, in line with recent proposals to shift our research strategy in psychopathology toward the study of cognitive and neural endophenotypes (1), our findings suggest that the dissociation of impulsive action, impulsive choice, and reward sensitivity is relevant to understanding the common and shared variance across externalizing problems. Furthermore, these findings suggest that new intervention strategies targeting these endophenotypes of risk, either at the personality, cognitive, or neural level, have the potential to affect a number of clinical outcomes concurrently. The fact that they can be measured before the emergence of psychiatric symptoms suggests that they might also be good candidates for novel prevention strategies in mental health, such as has been demonstrated by the personality-targeted approach to drug and alcohol prevention (40), which recently was also shown to concurrently reduce emotional and behavioral problems in youths (39).

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Supported by the European Union-funded FP6 Integrated Project IMAGEN (Reinforcement- Related Behaviour in Normal Brain Function and Psychopathology; LSHM-CT- 2007-037286), the FP7 project IMAGEMEND (Imaging Genetics for Mental Disorders) and the Innovative Medicine Initiative Project EU-AIMS (115300-2), the Medical Research Council Programme Grant "Developmental Pathways Into Adolescent Substance Abuse" (93558), and the Swedish funding agency FORMAS. Further support was provided by the Bundesministerium für Bildung und Forschung (BMBF grants 01GS08152 and 01EV0711), the Deutsche Forschungsgemeinschaft (DFG) Reinhart-Koselleck Award (SP 383/5-1), and DFG grants SM80/5-2, SM 80/7-1, SFB 940/1. This research was also supported by the German Ministry of Education and Research (grant 01EV0711). Dr. Castellanos-Ryan's and Dr. Conrod's salaries are awarded from the Fonds de Recherche du Québec–Santé.

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