# Article

# A Schizophrenia Gene Locus on Chromosome 17q21 in a New Set of Families of Mexican and Central American Ancestry: Evidence From the NIMH Genetics of Schizophrenia in Latino Populations Study

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Alfonso Ontiveros, M.D.	<b>Objective:</b> The present study investigated a new set of families of Latin American an-			
Humberto Nicolini, M.D.	cestry in order to detect the location o genes predisposing to schizophrenia and related psychotic disorders. <b>Method:</b> A genome-wide scan was per formed for 175 newly recruited familie with at least two siblings suffering from a psychotic disorder. Best-estimate consen sus procedures were used to arrive at diag			
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**Results:** Genome-wide significant evidence for linkage for the phenotype of DSM-IV schizophrenia or schizoaffective disorder was found in a region on chromo-

noses, and nonparametric allele-sharing

statistics were calculated to detect linkage.

some 17q21 (lod score, 3.33). A region on chromosome 15q22-23 showed suggestive evidence of linkage with this same phenotype (lod score, 2.11). Analyses using a broader model (any psychosis) yielded evidence of suggestive linkage for the 17q21 region only, and no region achieved genome-wide significance of linkage.

Conclusions: The new set of 175 families of Mexican and Central American ancestry delineates two new loci likely to harbor predisposition genes for schizophrenia and schizoaffective disorder. The region with the strongest support for linkage in this sample, 17q21, has been implicated in meta-analyses of schizophrenia genome screens, but the authors found no previous reports of it as a locus for schizophrenia in specific populationor family-based studies, and it may represent the location of a schizophrenia predisposition gene (or genes) of special relevance in Mexican and Central American populations.

(Am J Psychiatry 2009; 166:442-449)

Jenome-wide linkage scans of families with multiple cases of schizophrenia have been a valuable tool in identifying genes that contribute to this disorder in the general population (1-5). Beginning in the mid-1990s, genomewide linkage screens of multiplex schizophrenia families have resulted in identification of several chromosomal regions that displayed significant linkage results in distinct populations (4, 6-8). Although replication of results has been difficult, as is to be expected in the study of illnesses that are genetically complex, initial localization of schizophrenia predisposition genes to chromosomes 1, 6, 8, and 13 has led to eventual determination of specific genes that are associated with schizophrenia (9). Previously, we reported the results of phase 1 of the National Institute of Mental Health (NIMH) Genetics of Schizophrenia in Latino Populations Study, which revealed the main loci for psychosis genes in 99 families of Mexican and Central

American origin (8). Here we report the results of phase 2 of this study, in which 175 new multiplex families of Mexican and Central American origin were analyzed in a genome-wide screen. This new set of families was recruited from the southwestern United States, Mexico, and Central America. In this new sample, we report evidence of genome-wide significant linkage for the phenotype of schizophrenia to chromosome 17. This is a chromosomal location that has not been significantly linked to schizophrenia in any previous population study of which we are aware, although it has been suggested as a potential locus for schizophrenia in meta-analyses.

# Method

## Subjects

Families were recruited from sites throughout the southwestern United States, Mexico, and Central America. Each family had

This article is featured in this month's AJP Audio.

at least two siblings who had been previously diagnosed with either schizophrenia or schizoaffective disorder (according to inpatient or outpatient records). Assessment of affected subjects was conducted as described previously (8). In brief, clinical material was collected for each affected individual, including a direct interview by means of the Diagnostic Interview for Genetic Studies (version 2.0) (10), an interview with a close relative using the Family Interview for Genetic Studies (11), and any available hospitalization or treatment records. Assessments with the Diagnostic Interview for Genetic Studies were all conducted in the subject's preferred language, by a psychiatrist who had participated in reliability training exercises with the research consortium. Final DSM-IV lifetime diagnoses were assigned by a consensus best-estimate team, as previously described (8). This team also provided consensus information on lifetime history of psychosis, as defined by any of criteria A 1-4 of the DSM-IV criteria for schizophrenia.

Table 1 lists the total number of families included in this current analysis, as well as the number of affected relative pairs under the broad and narrow models, and the diagnoses of the subjects studied. No families from the previous sample (8) were included in this current analysis. After consensus diagnoses were completed, the number of affected sibling pairs was 247 for the broad analysis (plus 71 other types of affected relative pairs) and 231 for the narrow analysis (plus 35 additional types of affected relative pairs). This group of genotyped affected sibling pairs is more than twice the size of our previously studied set of 99 families, which had 81 and 70 fully genotyped affected sib pairs, respectively, for the broad and narrow models.

All subjects provided written informed consent after the study procedures were explained to them.

#### Genotyping

Blood samples were drawn by using sterile technique and processed at the NIMH Genetics Initiative cell repository at Rutgers University. DNA for 855 individuals, all from this new set of 175 families, was processed and shipped to the Center for Inherited Disease Research at Johns Hopkins University for genotyping. Each individual's DNA was genotyped there for 385 single tandem repeat markers, covering the genome at approximately 10-centimorgan (10-cM) intervals. There was no overlap of the subjects or families genotyped for the present analyses and those reported in our previous study of Latin American families (8).

#### Error Checking

The genotype data were checked for inconsistencies with pedigree data by using Preswalk, a version of Simwalk2 (12) that accepts data in PEDSYS database format (13). Simwalk2 uses a Markov-chain Monte Carlo procedure to determine the likelihood of the data set with the assumption that genotyping errors cause unlikely recombinations between loci. This program also detects Mendelian errors caused by pedigree problems such as nonpaternity and detects monozygotic twins. In addition, the linkage analysis software MERLIN checks for pedigree errors before initiating each analysis (14).

#### Linkage Analysis

We analyzed two related phenotypes for linkage, following the same protocol we used in our previous study of the first 99 families in the NIMH Genetics of Schizophrenia in Latino Populations study (8). Our broad model considered any subject with a consensus lifetime history of psychosis as affected, and it considered all other subjects to have an unknown phenotype. A subject was considered to have a lifetime history of psychosis if he or she was considered by the best-estimate consensus team to have met at least one of the first four A criteria for schizophrenia in DSM-IV. In the present sample, the DSM-IV consensus diagnoses of the sub-

TABLE 1. Characte	ristics of	Families	of Mexican	or Central
American Ancestry	With at	Least Tw	o Affected <sup>a</sup>	Siblings

		-
	Numl Genotype	per of d Subjects
Characteristic	Narrow Model of Psychosis	Broad Model of Psychosis
DSM-IV diagnosis	292	407
Schizophrenia	248	285
Schizoaffective disorder, bipolar type	33	45
Schizoaffective disorder, depressed type	11	16
Other psychotic disorder	b	61
Unknown phenotype <sup>c</sup>	290	448
Group		
Total subjects	582	855
Families	156	175
Affected sib pairs	231	247
Affected relative pairs	266	318

<sup>a</sup> "Affected" for the narrow model was defined as DSM-IV schizophrenia or schizoaffective disorder. For the broad model, "affected" included any subject with a history of psychosis.

<sup>b</sup> Subjects with other psychotic phenotypes were classified as having an unknown phenotype in the narrow model.

<sup>c</sup> All subjects other than those with the designated phenotypes.

jects who had a consensus lifetime history of psychosis were as follows: schizophrenia (N=285), schizoaffective disorder, bipolar (N=45), schizoaffective disorder, depressed (N=16), major depressive disorder with psychosis (N=14), bipolar type I disorder with psychosis (N=5) and psychotic disorder not otherwise specified (N=42). A narrow model was also used, in which only subjects with a consensus DSM-IV diagnosis of schizophrenia or schizoaffective disorder (either type) were considered affected and all other subjects were listed as having an unknown phenotype. For the current phase 2 analyses, there were 175 new families under the broad model and 156 new multiplex families under the narrow model. Nonparametric linkage analyses were performed by using the MERLIN computer program (14). A sib pair model was used in which only affected individuals were considered; unaffected individuals were represented as having unknown diagnostic status. MERLIN conducts multipoint linkage analysis by means of the Kong and Cox linear model (15).

To assess the genome-wide significance of findings of linkage, 10,000 gene-dropping simulations were carried out for each model by using MERLIN with the broad and narrow phenotypes. The simulation process assigns random chromosomes to founders by using genotype frequencies estimated by means of maximum likelihood. The process assigns chromosomes to individuals in the pedigree while taking into account their relationships to each other and recombination frequencies. This system allows for the computation of false positive rates since it retains the original pattern of relationships between relatives and missing data and the simulated data are unlinked to the trait. The method of Feingold et al. (16) was used to obtain p values for lod scores (logarithms of the odds ratios for linkage). Using the criteria established by Lander and Kruglyak (17), we defined suggestive evidence of linkage as any lod score that would be expected to occur no more than once per genome scan by chance. According to our simulations, this would be equivalent to a lod score of 1.71 or higher.

## Results

In this new set of families, evidence of suggestive or significant linkage (lod score  $\geq$ 1.71) was found at two loci, as shown in Table 2. Complete plots of the multipoint linkage scores for both the broad and narrow psychosis models

	Possible Linkage to Schizophrenia (lod score >1)							
Chromosomal	Distance From p		Broad Psyc	hosis Model <sup>a</sup>	Narrow Psychosis Model <sup>a</sup>			
Region	Terminus (cM)	Nearest Marker	Lod Score	Nominal p	Lod Score	Nominal p		
1p36	39.08	D1S3669	1.11	0.01	1.10	0.01		
1p12	143.67	D1S3723	1.14	0.01				
2q33	216.01	D2S434			1.01	0.02		
4q13	71.57	D4S3248			1.35	0.006		
5p13	48.88	D5S1470			1.05	0.02		
7p14	54.49	D7S2846	1.20	0.009				
7p12	63.13	D7S1818	1.46	0.005	1.05	0.02		
9q32	121.24	D9S930	1.21	0.009				
9q34	139.68	D9S1825	1.21	0.009				
10q26	160.81	D10S217			1.18	0.01		
	170.62	D10S212			1.16	0.01		
12q21	98.19	D12S1064			1.25	0.008		
14q32	111.16	D14S1434	1.25	0.008	1.68	0.003		
15q22	59.29	D15S1507			1.78	0.002		
15q23	71.49	D15S131	1.32	0.007	2.11	0.0009		
17p13	23.19	D17S974			1.13	0.01		
	25.23	D17S1303			1.13	0.01		
17q11	48.54	D17S975	1.82	0.002	2.77	0.0002		
17q11-12	54.90	D17S1880	1.72	0.002	2.74	0.0002		
	56.94	D17S1880	1.61	0.003	2.64	0.0002		
17q21	66.75	D17S2180	2.11	0.0009	3.33	0.00005		
	72.00	D17S2180	1.42	0.005	2.38	0.0005		
20q13	86.64	D20S451	1.32	0.007				
	97.63	D20S451			1.08	0.01		

TABLE 2. Genetic Loci With Possible Linkage to Broad and Narrow Models of Psychosis in Families of Mexican or Central American Ancestry

<sup>a</sup> Narrow model includes schizophrenia and schizoaffective disorder. Broad model also includes other psychotic disorder.

are displayed in Figure 1. For the broad phenotype (Table 2), eight loci had lod scores greater than 1.0 (corresponding to a nominal p value of 0.01), on chromosomes 1 (two loci), 7, 9, 14, 15, 17, and 20, with the highest peak on chromosome 17. The peak on chromosome 17 meets the criteria of suggestive linkage, as defined by our simulation analysis and according to the standard of Lander and Kruglyak (lod scores expected to happen by chance once per genome screen) (17). None of these loci met the genome-wide criteria for linkage in our sample. For the narrow model (Table 2), 11 loci had lod scores greater than 1.0, on chromosomes 1, 2, 4, 5, 7, 10, 12, 14, 15, 17, and 20. The peak multipoint lod score was on chromosome 17q21. This evidence of linkage is close to the level proposed by Lander and Kruglyak (17) for significant genome-wide linkage in a sib pair study (lod score=3.6, p= $2.0 \times 10^{-5}$ ) and meets genome-wide significant linkage according to our simulations (described in the Method section). Our simulations indicated that a lod score of 3.33 or higher would be expected to occur 2.09 times per 100 genome scans (individual lod scores at or above this level occurred in 209 individual marker simulations out of 10,000 whole genome screen simulations with the given data structure).

Besides the peak on chromosome 17 (which technically has lod scores greater than 2.0 spanning from 17q11 to 17q21), only one other region attained evidence for linkage with a lod score greater than 2.0 (Table 2). This locus was also for the narrow model and was located in the chromosome 15q22-24 region, with a peak lod score of 2.11, which meets the criteria for suggestive evidence of linkage in the present study.

# Discussion

The results of the present study reveal several new loci of interest in the search for genes that predispose to schizophrenia in the Latino population. Although all of the loci listed in Table 2 are worthy of following up in future analyses and studies, the current sample highlights two key areas of interest, at 15q22-24 and at 17q21. The first locus shows suggestive evidence of linkage to the phenotype of schizophrenia and schizoaffective disorder, with the latter locus meeting genome-wide significance criteria in this sample. Although primary evidence of linkage at these sites has not been reported in any other specific studies of schizophrenia to our knowledge, both loci have been suggested as possible loci for this disease in meta-analyses (to be discussed). For both loci, broadening of the phenotype in the current study to include all cases of psychosis in these families did not increase the evidence of linkage.

This current set of 175 families is distinct from the set of 99 families previously studied (8), although both sets of families were recruited because they had Mexican or Central American ancestry, and assessment, diagnosis, and the phenotypes analyzed were the same. In the first 99 families, genome-wide significant linkage for the broad phenotype of psychosis was demonstrated on chromosome 1p (peak at 37 cM from the p terminus). In this new set of families, this locus showed confirmatory evidence of linkage (both broad and narrow models had lod scores of 1.1 with a nominal p value of 0.012, at 39 cM from the p terminus). Although the second set of families (current study) yielded strong evidence of linkage at 17q21, this





Distance From p Terminus (cM)

<sup>a</sup> The narrow phenotype includes schizophrenia and schizoaffective disorder. The broad phenotype includes any history of psychosis. A lod score of 1.0 is equivalent to a nominal p of 0.01.

had not been suggested as a locus in the first set of families. Our results suggest the potential of stochastic differences between the two samples (i.e., by chance, the first set of families contained more families in which a gene or genes in the chromosome 1p region were responsible for the enhanced risk of psychosis, whereas the second set contained more families with a predisposition gene or genes in the chromosome 17q21 region). This could be due in part to geographical differences in the sampling; for instance, 16.9% of the subjects in the first study were from Central America, whereas 25.7% of those in the second study were from Central America (47.5% and 42.2%, respectively, were from Mexico, and 35.6% and 32.1% were from the southwestern United States). However, our previous analyses of population structure in the southwestern United States, Mexico, and Central America suggest that these populations share common ancestry and that there is more genetic heterogeneity within each geographical region than between regions (18).

The fact that the 17q21 locus did not show evidence of linkage in the previous study (first sample) may attest to the fortuitous nature of linkage findings in moderatesized samples. Definitively identifying genes for complex disorders, especially in outbred populations, may require very large samples of sibling pairs (19). For oligogenic diseases, it is much more likely that genetic loci will be identified in initial screens than that they will be identified in a replication sample, and replication samples may need to be five to six times larger than the original sample to adequately test for replication (20). Joint studies, in which all samples from our previous and current linkage studies are combined, were not possible to perform at this time, as allele calling and several markers were different in the two sets of families; future combined analyses of these families may thus yield additional loci of interest.

The present study, with 175 families, is one of the larger published studies using affected sibling pairs to study schizophrenia. In a summary of schizophrenia linkage screens, the average number of families in 20 linkage studies was 60 (and the average number of affected individuals per study was 147), with only two studies having as many as 170 families (21). As part of the NIMH Human Genetics Initiative (22), two other groups have studied large numbers of families by using a focus on affected sibling pairs, a narrow diagnosis (schizophrenia and schizoaffective disorder), and similar diagnostic procedures (Diagnostic Interview for Genetic Studies, Family Interview for Genetic Studies, consensus process, and DSM-IV diagnoses). Table 3 lists the resultant genome scans from these two groups, juxtaposed with the two sets of families from our analyses, and the resulting genomic loci identified, with results broken down by the ethnic origin of the families. One study reported on genome screens for 249 European-ancestry American families and 99 African-ancestry American families (23), whereas another group reported on 606 Han Chinese families drawn from the Taiwanese population

(24). As in our study, the study on European- and Africanancestry American families designated an affected phenotype as DSM-IV schizophrenia or schizoaffective disorder (25). The study from the Han Chinese population used a more restrictive diagnosis of DSM-IV schizophrenia for their analysis of affected persons, but the authors noted that when their phenotype was broadened to include schizoaffective disorder, "the results were virtually identical" to those they presented (24). It is interesting that these studies, despite using similar methods, highlight different chromosomal regions of most significant linkage and that the linkage scores do not correlate directly with the number of affected sib pairs studied (the highest lod score comes from the current set of 231 Latino sib pairs, based on a narrow model, while the Han Chinese sample, which had the highest number of affected sib pairs, gave one of the lowest peak linkage scores of any of the studies).

### Chromosome 17q21

In the current study, our strongest evidence for the location of a schizophrenia predisposition gene (or genes) was in the 17q21 region. In a meta-analysis of 20 genome scans for schizophrenia, performed by Lewis et al. in 2003 (21), this region was implicated as one of a few that they felt could be possible loci for schizophrenia (one of 19 loci with "nominally significant" probability values), although only one locus (on chromosome 2) in their meta-analysis showed significant linkage. Lewis et al. noted that the 17q locus was highlighted by the meta-analyses even though it had not been previously implicated in individual studies. In searching the literature for evidence of linkage to this region, we identified only one specific study that implicated this region. In that study (25) a linkage analysis was performed to identify genes moderating the age at onset of schizophrenia, and marker 17S787, on chromosome 17q, gave the peak lod score for the genome screen.

Since the linkage examined is to chromosomal regions, rather than to specific genes, follow-up studies of this region will need to carefully screen many genes. Nevertheless, it is interesting that this locus has been implicated in several other CNS diseases, many of which may share symptoms with schizophrenia and schizoaffective disorder. Genes associated with frontotemporal dementia (26, 27), parkinsonism (28, 29), and progressive supranuclear palsy (30) and homeobox genes involved in brain development (31) all lie within 17q21. It is interesting that one of the first reports of linkage of a behavioral disorder to this region was for a family in which two of the individuals had been diagnosed during their lifetimes with schizophrenia (30), and the gene underlying the linkage was later shown to be the microtubule associated protein tau (MAPT) gene, which lies 2 cM from the peak linkage in the current study.

The 17q21 region also contains gene loci for autism, a disease with some overlap in features with schizophrenia. Two genome screens on independent samples, conducted with families from the Autism Genetic Research Exchange,

TABLE 3. Large Genome-Wide Scans for	Schizophrenia From the NIMF	I Human Genetics Initiative
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			Num-				Peak Score	
Study	Population	Number of Families	ber of Affected Sib Pairs	Affected Phenotype	Chromo- somal Region	Lod Score (Z likeli- hood-ratio [Z <sub>lr</sub> ])	Nonparametric Linkage Score	Nominal p value
Suarez et al., 2006 (23)	European American	249	279	DSM-IV schizophrenia and schizoaffective disorder	8p23-12	2.30 (Z <sub>lr</sub> =3.33) <sup>a</sup>		<0.01
	African American	99	124	DSM-IV schizophrenia and schizoaffective disorder	4p16-15	1.81 (Z <sub>Ir</sub> =2.66)		<0.01
Faraone et al., 2006 (24)	Han Chinese ancestry	606	593	DSM-IV schizophrenia	10q22		2.88	0.002
Current study	Mexican and Central American ancestry	156	231	DSM-IV schizophrenia and schizoaffective disorder	17q21	3.33 <sup>b</sup>	3.35	0.00005
Escamilla et al., 2007 (8)	Mexican and Central American ancestry	99	81	Psychosis	1pter- 1p36		3.42 <sup>b</sup>	0.00003

<sup>a</sup> Met empirical criteria for genome-wide suggestive linkage.

<sup>b</sup> Met empirical criteria for genome-wide significant linkage.

each showed a peak lod score for families with unaffected females at the 17q11-17q21 region (32, 33), and in the latter study, additional fine mapping narrowed the peak to the 17q21 region (with a peak lod score of 4.1 less than 1 cM from our peak lod score). These studies used a broad phenotype for autism that included individuals who did not have the classic age at onset of autism.

Finally, it is also worth noting that two other neuropsychiatric phenotypes, bipolar disorder (34) and systemic lupus erythematosus (35), have demonstrated evidence of linkage to 17q21.

#### Chromosome 15q22-24

The second region of interest from the current genome screen was at the 15q22-24 region. Like chromosome 17q21, this locus has not had attention called to it in any previous genome screens for schizophrenia to our knowledge, but it was one of the few loci that were suggested as potential loci for schizophrenia in a meta-analysis (21). Although evidence has been found of linkage between 15q and schizophrenia (36), the locus was at 15q13-14. A combined analysis using subjects with schizophrenia and bipolar disorder found evidence of linkage to a nearby locus (15q26) (37). One group has suggested the 15q14-21 region as a chromosomal location showing potential overlap of schizophrenia and autism (38). Finally, in the mid-1990s, there were two reports suggesting that 15q23-24 was a region of potential significance for studies of schizophrenia and autism (39, 40).

## Conclusion

This second genome-wide linkage screen for schizophrenia in a Latino population provides two new loci of relevance to schizophrenia genetics in populations of Mexican and Central American ancestry. The locations of these linkage peaks present implications concerning potential overlap of schizophrenia, frontotemporal demen-

tia, and autism. Whether these disorders share specific genes or whether they are showing linkage to different genes within these regions will require association-based analyses of specific candidate genes within these regions (15q22-24 and 17q21). Given the symptomatic overlap between some of the clinical manifestations of these three diseases, as well as studies such as that of Rshetsky et al. (41) (which suggests that "schizophrenia, autism, and bipolar disorder share significant genetic overlaps"), there is certainly ample reason to hypothesize that specific genes in these two regions will have relevance for schizophrenia, autism, frontotemporal dementia, and perhaps even bipolar disorder. In terms of specific genes in this region, given the fact that genes for frontotemporal dementia have been identified in the 17q21 region, these are clear candidates for further study in the Latino population.

The most significant linkage peak in the current study (at 17q) differed from the strongest peak in our first screen of Latino families (1p). Moreover, the results of all three NIMH Human Genetics Initiative studies of sibling pairs (in Latino, U.S. Caucasian and African American, and Han Chinese populations) have identified different linkage peaks as their most prominent loci. Combined analyses using all of these study populations, which were collected by using similar methods and diagnostic procedures, may be of interest in identifying additional loci that did not stand out in any individual study. As a group, this series of studies confirms that stochastic variation in human populations most often leads to different genomic localizations in different samples in studies of a complex disorder such as schizophrenia. Moreover, recent studies also suggest that results from different ethnic populations may yield very different localizations for the genes of relevance to those populations.

Taken as a whole, the results of the different schizophrenia studies from the NIMH Human Genetics Initiative support the notion that schizophrenia is caused by several different genes of small effect and there is no single gene of major effect causing this illness. On the positive side, each of these studies has presented evidence for particular chromosomal locations for genes of relevance to schizophrenia, and they have therefore provided the first clues to identification of genes in these regions. Genome-wide association analyses of schizophrenia are now under way and should aid in identifying gene variants of small effect. These studies may use linkage findings from the current set of studies to help prioritize the chromosomal regions on which they should focus their efforts. For Latino populations of Mexican and Central American descent, the loci of greatest interest must now be considered to be chromosome 1pter-p36 (from our previously published genome screen) and 17q21 (from the current genome screen). Association-based analyses and fine mapping should help to further identify the specific loci in these regions that contribute to schizophrenia in this population.

Received April 29, 2008; revision received Oct. 13, 2008; accepted Nov. 17, 2008 (doi: 10.1176/appi.ajp.2008.08040612). From the University of Texas Health Science Center at San Antonio; the University of California at Los Angeles; the Southwest Foundation for Biomedical Research, San Antonio, Tex.; the Instituto de Información de Investigación en Salud Mental, Monterrey, Mexico; the Universidad Autónoma de la Ciudad de México, Mexico City; the Medical and Family Research Group, Carracci S.C., Mexico City; the School of Biology and Center for Cellular and Molecular Biological Studies, University of Costa Rica, San José; the Family Health Centers of San Diego; and the Centro Internaciónal de Trastornos Afectivos y de la Conducta Adictiva, Guatemala City, Guatemala. Address correspondence and reprint requests to Dr. Escamilla, Psychiatric Genetics Center, University of Texas Health Science Center at San Antonio, Suite 200, 454 Soledad St., San Antonio, TX 78205; escamillam@uthscsa.edu (e-mail).

Dr. Ontiveros receives research funding from AstraZeneca, Bristol-Myers Squibb, GlaxoSmithKline, Merck, Otsuka, Paycit Mexico, Roche, Sanofi Aventis, Serono, Servier, and Wyeth. The other authors report no competing interests.

Supported by the International Neuro-Genetics Association of Spanish America and the United States, which collected data and biomaterials for this research; collaborative NIMH grants (Genetics of Schizophrenia in Latino Populations) to Dr. Escamilla (MH-60881) and Dr. Mendoza (MH-60875); U.S. Psychiatric Genetics Training Grant MH-071753 from NIMH to Dr. Hare; and contract N01-HG-65403 from NIH to the Johns Hopkins University Center for Inherited Disease Research, which provided genotyping services.

The authors thank the families, clinicians, and hospitals who participated in this project; Erasmo Cano, Gary Burk, and Joseph Peters for research support; and Drs. Regina Armas, Mercedes Ramirez, Salvador Contreras, Alec Miller, and Douglas Levinson for their assistance as part of the best-estimate diagnostic team.

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