

Relationship Between a High-Risk Haplotype in the *DTNBP1* (Dysbindin) Gene and Clinical Features of Schizophrenia

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Objective: The purpose of this study was to determine whether a haplotype in the *dystrobrevin binding protein 1 (DTNBP1)* gene previously associated with schizophrenia not only increases the susceptibility to psychotic illness but also to a more or less clinically specific form of psychotic illness.

Method: In the Irish Study of High-Density Schizophrenia Families, subjects with psychotic illness (N=755) were given lifetime ratings of clinical features according to the Operational Criteria Checklist for Psychotic Illness. Exploratory and confirmatory factor analyses were used to extract five factors—hallucinations, delusions, negative, manic, and depressive symptoms—and to create factor-derived scores. The family-based transmission disequilibrium test operationalized in the program TRANSMIT was used to determine whether a high-risk haplotype in the *DTNBP1* gene was overtransmitted to subjects in the upper 20th and 40th percentiles for each factor score. These results were compared to baseline overtransmis-

sion by examining the empirical distribution of chi-square statistics in groups of 5,000 replicates in which 20% and 40% of ill subjects were randomly selected. This analysis was done for both narrow and broad definitions of psychotic illness.

Results: Subjects in the upper 40th percentile for the negative symptom factor—in both the narrowly ($p=0.004$) and broadly ($p=0.01$) defined illness groups—were more likely to inherit the high-risk haplotype than would be expected by chance. No other significant relationships between clinical features and high-risk haplotype transmission were observed.

Conclusions: The etiologically relevant variation in *DTNBP1*, which is in presumptive linkage disequilibrium with the high-risk haplotype, may predispose individuals to a form of psychotic illness associated with high levels of negative symptoms. This finding supports previous evidence suggesting that genetic factors influence the clinical heterogeneity of schizophrenia.

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Schizophrenia is a debilitating neuropsychiatric condition in which a substantial proportion of patients do not respond to treatment (1). Since its earliest descriptions, it has been thought to be clinically heterogeneous (2, 3). Several clinical subtypes have been posited, even before its widespread acceptance as a unified nosological entity, and are currently established in the DSM and ICD classification systems. Schizophrenia has also been described in terms of several continuous traits, often embodied in symptom factors extracted in factor analysis. These descriptions have included models consisting of three, four, five, or more factors, the most salient of which have been positive, negative, and disorganization symptoms, as reviewed by Peralta and Cuesta (4).

Like many other complex traits and diseases, schizophrenia is most likely genetically heterogeneous (5). Recently, several genes demonstrating strong evidence of association with schizophrenia have been identified (6, 7). It has yet to be established whether these are susceptibility genes for schizophrenia in all populations, but association

with both *dystrobrevin binding protein 1 (DTNBP1)*, which codes for dysbindin (8), and *neuregulin 1* (9) has been subsequently replicated in other samples (10–18). The involvement of dysbindin in the pathophysiology of schizophrenia is supported by recent findings that its expression is reduced in both the prefrontal cortex (19) and presynaptic hippocampal sites (20) in schizophrenia. However, the specific causative mutation(s) responsible for increasing the risk of illness have not been identified. It is not likely that previously associated markers are themselves responsible, as these findings differ considerably across samples, even those from the same country. It is presumed, therefore, that individual markers and haplotypes associated with the illness are in linkage disequilibrium with etiologically relevant variants.

A major unanswered question is whether the clinical heterogeneity observed in schizophrenia is due to genetic heterogeneity. One approach to answering this question is to examine the relationship between clinical features and known genetic factors. In a sample of 270 Irish high-den-

sity schizophrenia families, ill subjects from families with evidence of linkage to chromosome 8p21–22 had higher levels of affective deterioration and thought disorder, poor outcome, and low levels of depression, compared to ill subjects from other families (21). Affected sibling pairs in a sample of 89 families from Maryland who shared two alleles at a chromosome 8 marker and one allele at a chromosome 14 marker were more likely to have bizarre delusions, attendance of a special school, affective symptoms early in the course of illness, and seizures (22).

Previous attempts to clarify this issue have compared the clinical features of ill subjects grouped on the basis of evidence of linkage to specific chromosomal regions. However, this strategy may obscure the effects of individual susceptibility genes on clinical features, as more than one susceptibility gene may occur in the same linked region, thereby producing false negative results. In addition, not all affected individuals in a linked family have necessarily inherited the mutation in the gene responsible for the linkage. This situation would be especially applicable in more common diseases. With the recently demonstrated and replicated associations, the opportunity now exists to test whether individual susceptibility alleles are differentially associated with clinical features.

Several single nucleotide polymorphisms (SNPs) (8), as well as a six-marker high-risk haplotype (23) in the human *DTNBP1* (dysbindin) gene, have been demonstrated by our group to be highly significantly associated with schizophrenia in a sample of 270 Irish high-density families. In this study, we tested whether the inheritance of the high-risk haplotype was associated with specific clinical features of psychotic illness.

Method

Subjects and Assessment

The Irish Study of High-Density Schizophrenia Families is a collaborative effort between the Medical College of Virginia of Virginia Commonwealth University, Richmond, the Queen's University, Belfast, Northern Ireland, and the Health Research Board, Dublin, Ireland. Fieldwork was done between April 1987 and November 1992 and has been described previously (24). Interviews were conducted by Irish psychiatrists and social scientists with a background in mental health or survey work after consent was obtained by using procedures approved by the ethical review panels at the Health Research Board and the Queen's University. The original linkage sample consisted of 1,425 individuals from 270 families that were ascertained on the basis of having more than one member with DSM-III-R schizophrenia or poor-outcome schizoaffective disorder. Diagnoses were generated by using modified sections of the Structured Interview for DSM-III-R (SCID) for selected axis I disorders (25). All relevant diagnostic information for each individual relative was reviewed independently by K.S.K. and D.W., who were blind to subjects' pedigree assignments and marker genotypes. Each diagnostician made up to three best-estimate DSM-III-R diagnoses.

For each subject with psychotic illness (defined as lifetime occurrence of any psychotic episode), the Operational Criteria Checklist for Psychotic Illness (26) was completed by K.S.K. (N=755, of which 722 were genotyped) on the basis of review of hos-

pital records and personal interviews. The Operational Criteria Checklist for Psychotic Illness was designed for use in a best-estimate procedure in which symptom and course features are coded by an experienced clinician, allowing integration of the relative prominence of clinical features over the entire course of illness.

Genotyping and Allele Scoring

Linkage to chromosome 6p24–22 in the Irish Study of High-Density Schizophrenia Families has been previously reported (27). Fine mapping of this region and the subsequent identification of *DTNBP1* was subsequently described by Straub et al. (8). Briefly, candidate SNPs were generated from the SNP Consortium, dbSNP, and direct sequencing; six pools of two cases each were sequenced in exons and their surrounding introns. SNP genotyping was performed by using the method of fluorescence polarization detection with template-directed dye-terminator incorporation assay (FP-TDI), details of which have been published elsewhere (28). Attention was focused on 14 intronic SNPs: 12 were described in a previous article reporting the initial association of *DTNBP1* with schizophrenia (8) and two more were added centromeric to those 12.

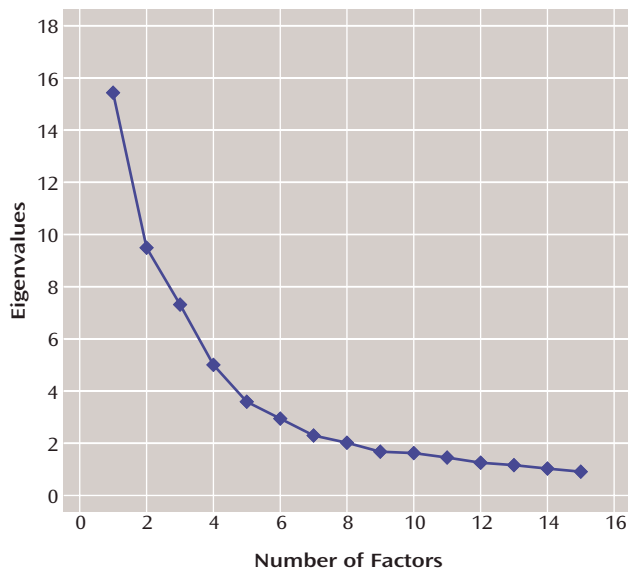
Haplotype Analysis

A complete description of analytic methods used by van den Oord et al. to identify the high-risk haplotype on *DTNBP1* has been published elsewhere (23). A haplotype is defined as the ordered set of alleles present on a single chromatid. A haplotype block is defined as a region in which contiguous SNPs have an average linkage disequilibrium that is greater than an a priori threshold, or one with limited haplotype diversity. In brief, the degree of linkage disequilibrium between each marker pair was determined by using d^2 , resulting in a pattern of linkage disequilibrium consistent with a haplotype block extending from marker p1635 to marker p1792. The haplotype structure was determined by testing all combinations of adjacent SNPs for goodness of fit and parsimony in explaining the haplotype variation in the sample. The best fitting model comprised a haplotype block of eight SNPs ranging from p1635 to p1792 in which the six most common haplotypes accounted for 96.09% of all founder haplotypes and in which 73.83% of the founders had the most common haplotype. These six most common haplotypes were tested for association with schizophrenia by using multiple tests. The results showed that the linkage disequilibrium signals from the single SNP analyses could be explained by a single haplotype that was significantly overtransmitted to affected offspring, as shown by the Pedigree Disequilibrium Test (portion of preferential vertical transmissions=0.425; portion of preferential horizontal transmissions=0.423, $p=0.002$). This haplotype, which we refer to as the high-risk haplotype, had an effect size of 3.11 (with the assumption that inheriting one or two copies conferred equal risk). No other haplotypes were significantly overtransmitted to affected offspring.

Statistical Analysis

We selected 60 of the 75 items of the Operational Criteria Checklist for Psychotic Illness (26) to enter into exploratory factor analysis using VARIMAX rotation, implemented in the program Mplus (29). This method is based on polychoric correlations, which are superior to the usual Pearson's product-moment correlations for items with few response categories. These items were selected because they represent signs and symptoms rather than course or historical features. We used the scree plot, displayed in Figure 1, to determine the number of factors that best accounted for the covariance among these items. We selected items with loadings of 0.5 or greater to create factor-derived scales, yielding 56 items. After we decided on a five-factor solution, the factor structure was further evaluated with confirmatory factor analysis, which gave similar factor loading estimates and interpretable fac-

FIGURE 1. Scree Plot in Exploratory Factor Analysis of 60 Operational Criteria Checklist for Psychotic Illness Items Representing Lifetime Symptoms in Subjects With Psychotic Illness (N=755) in the Irish Study of High-Density Schizophrenia Families



tor correlations. Factor-derived scales were obtained for each factor by summing the scores of all items.

The association between clinical features and the high-risk haplotype was examined by using the family-based transmission disequilibrium test (30) operationalized in the program TRANSMIT (31). The transmission disequilibrium test is a test of both linkage and linkage disequilibrium. It tests whether the transmission of an allele from heterozygotic parents to offspring affected with a categorical trait occurs more often than would be expected by chance. Significance is determined by comparing the counts of observed and expected transmissions and then calculating the chi-square statistic. First, we specifically tested for overtransmission of the high-risk haplotype, because of its previous association with illness. Therefore, this haplotype was coded as "2," while all other haplotypes were coded as "1." All affected offspring within a family were included in the analysis, and default settings were used.

We defined affection in subjects as whether they exceeded a predetermined cutoff on the factor-derived score for each factor of the Operational Criteria Checklist for Psychotic Illness. The cutoffs were chosen somewhat arbitrarily. However, there is no a priori line of demarcation between "high" and "low" levels of a trait. Our intention in these analyses was to pick out groups of subjects that scored most extremely high without exceedingly compromising the power to detect association. Therefore, we used a progressively widening circle by including subjects first at one cutoff, the upper 20%, and then at a less restrictive cutoff of the upper 40%. Furthermore, we did this analysis for two groups of probands: 1) those with narrowly defined psychotic illness, defined as either schizophrenia or poor-outcome schizoaffective disorder (N=618 rated and genotyped), and 2) those with broadly defined psychotic illness, defined as the presence of at least one lifetime episode of nonaffective psychosis, including good-outcome schizoaffective disorder, schizophreniform disorder, delusional disorder, and psychosis not otherwise specified (N=722 rated and genotyped).

The high-risk haplotype was previously demonstrated to impart risk for psychotic illness overall in the entire sample (23). Association with the high-risk haplotype could therefore also be observed by chance in random subsets of this sample, including

subjects in the top 20th or 40th percentile for any Operational Criteria Checklist for Psychotic Illness factor. It was therefore important to determine whether a particular chi-square result was greater than would be expected by chance. We did this by employing a permutation test to determine the empirical distribution of chi-square statistics in random samples that had the same number of affected cases as a particular clinical subgroup of interest. Five thousand permutations were run for each cutoff in all five factors, for both narrow and broadly defined illness. Empirical p values were determined by the formula $P=d+1/n+1$, where d is the number of chi-square statistics observed in 5,000 permutations that exceeded the chi-square observed in an actual analysis based on the Operational Criteria Checklist for Psychotic Illness. As the Operational Criteria Checklist for Psychotic Illness factor-derived scales were ordinal, the top 20th and 40th percentiles of the sample included slightly different numbers of subjects for each factor. This situation resulted in a total of 19 sets of 5,000 TRANSMIT runs.

We then performed a set of analyses to test for excessive transmission of *all* haplotypes to subjects in the upper 20th and 40th percentiles for all five factors, as described earlier. This step was done to determine if other haplotypes were associated with particular subsets of the sample. This approach was entirely exploratory, as we had no a priori knowledge that any other haplotypes would be associated in this sample.

Results

Examination of the scree plot produced in the factor analysis (Figure 1) revealed a slight but discernible break between the five- and six-factor solutions. We therefore retained the five-factor solution for analyses with the high-risk haplotype without examining the factor structure. We dropped items with loadings of less than 0.5 and tested the resulting factor structure in confirmatory factor analysis. The root mean square error of approximation was 0.085, indicating a good fit to the data. The resulting loadings were similar to those of the exploratory factor analysis. The factors, individual items, and their factor loadings are presented in Table 1. The symptoms included in each factor were consistent with theory and expectation. The five factors were readily discernible and could be unambiguously identified with the following five symptom complexes: hallucinations (mean factor-derived score=3.52, SD=2.38), delusions (mean=4.65, SD=3.32), negative symptoms (mean=5.59, SD=2.82), manic symptoms (mean=2.32, SD=3.94), and depressive symptoms (mean=5.91, SD=7.90). The four items that did not load on any factor predominantly or whose largest loading was less than 0.5 were other primary delusions, thought echo, lack of insight, and "schizophrenia symptoms respond to neuroleptics."

Within individual subjects (N=755), hallucinations were positively correlated with delusions ($r=0.48$, $p<0.0001$) and manic symptoms were positively correlated with depressive symptoms ($r=0.22$, $p<0.0001$). Negative symptoms were negatively correlated with delusions ($r=-0.11$, $p=0.0024$), manic symptoms ($r=-0.13$, $p=0.0004$), and depressive symptoms ($r=-0.35$, $p<0.0001$) but were positively, although modestly, correlated with hallucinations ($r=0.08$, $p=0.03$). Within affected sibling pairs, among all

available nonindependent pairs (N=441), affected siblings were correlated for delusions ($r=0.21$, $p<0.0001$), depressive symptoms ($r=0.24$, $p<0.0001$), and negative symptoms ($r=0.26$, $p<0.0001$) and less so for manic symptoms ($r=0.13$, $p<0.005$), but not for hallucinations ($r=0.03$, $p=0.61$).

The high-risk haplotype had a frequency of 0.065. For the entire sample, there was significant overtransmission of the high-risk haplotype to subjects with both narrowly ($\chi^2=21.38$, $df=1$, $p<0.0001$) and broadly ($\chi^2=16.77$, $df=1$, $p<0.0001$) defined illness (Table 2). The high-risk haplotype was significantly overtransmitted to subjects in the upper 40th percentile for the Operational Criteria Checklist for Psychotic Illness negative symptom factor, in both the narrowly (empirical $p=0.004$) and broadly (empirical $p=0.01$) defined groups of ill subjects. It is noteworthy that the chi-square test results achieved in these two analyses ($\chi^2=17.81$ and $\chi^2=16.74$, respectively) were effectively the same as that achieved using the *entire* sample of broadly defined cases. There was no significant overtransmission to subjects in the upper 20th percentile for any other Operational Criteria Checklist for Psychotic Illness factor.

The high-risk haplotype was overtransmitted, if not significantly, in all subgroups tested. Furthermore, no other haplotype was significantly overtransmitted (details available on request). An exception to this finding occurred in the subgroup in the upper 40th percentile for the depressive symptoms factor. In this group, the high-risk haplotype was overtransmitted, but not significantly, in the narrowly defined group (31.592 transmissions were observed, 26.640 were expected; $\chi^2=3.15$, $df=1$, $p=0.08$). However, haplotype 3 was significantly overtransmitted (45.759 transmissions observed, 35.147 expected; $\chi^2=8.71$, $df=1$, $p=0.003$), with global significance in the context of six total haplotypes tested (global $\chi^2=20.09$, $df=5$, $p=0.001$). The high-risk haplotype was significantly overtransmitted in the broadly defined group (38.254 transmissions observed, 31.525 expected; $\chi^2=5.05$, $df=1$, $p=0.02$), but haplotype 3 was overtransmitted with greater significance (52.790 transmissions observed, 41.977 expected; $\chi^2=7.58$, $df=1$, $p=0.006$) and with global significance (global $\chi^2=18.83$, $df=5$, $p=0.002$). Haplotype 3 was not significantly overtransmitted in the entire sample for either narrowly (expected=76.902, observed=72.110, $\chi^2=0.94$, $df=1$, $p=0.43$) or broadly defined groups (expected=89.675, observed=81.202, $\chi^2=2.67$, $df=1$, $p=0.10$).

Discussion

Factor Analysis

The results of the factor analysis described in this article differed slightly from previous analyses of schizophrenic symptoms (4). First, hallucinations and delusions have most often loaded on a single factor, usually called positive symptoms. This result conforms to the general sense among many clinicians that these two classes of symp-

TABLE 1. Factor Loadings in a Confirmatory Five-Factor Solution Based on Ratings of 56 Items of the Operational Criteria Checklist for Psychotic Illness in Subjects With Psychotic Illness (N=755) in the Irish Study of High-Density Schizophrenia Families

Factor and Item	Loading
Negative	
Bizarre behavior	0.538
Catatonia	0.544
Speech difficult to understand	0.623
Incoherent	0.842
Positive formal thought disorder	0.621
Negative formal thought disorder	0.777
Restricted affect	0.679
Blunted affect	0.817
Inappropriate affect	0.600
Rapport difficult	0.815
Information not credible	0.813
Deterioration from premorbid level of function	0.783
Delusions	
Persecutory delusions	0.725
Well-organized delusions	0.707
Delusions of influence	0.709
Bizarre delusions	0.860
Widespread delusions	0.806
Delusions of passivity	0.944
Thought insertion	0.898
Thought withdrawal	0.707
Thought broadcast	0.723
Manic	
Affective symptoms predominate	0.866
Grandiose delusions	0.482
Elevated mood	0.962
Irritable mood	0.711
Excessive activity	0.935
Reckless activity	0.842
Pressured speech	0.953
Increased self-esteem	0.879
Thoughts racing	0.940
Distractibility	0.892
Reduced need for sleep	0.886
Depressive	
Schizophrenia symptoms at same time as affective symptoms	0.934
Dysphoria	0.968
Agitated activity	0.615
Slowed activity	0.759
Loss of energy/tiredness	0.886
Loss of pleasure	0.897
Poor concentration	0.826
Excessive self-reproach	0.865
Suicidal ideation	0.724
Initial insomnia	0.863
Early morning waking	0.869
Excessive sleep	0.523
Poor appetite	0.904
Weight loss	0.860
Increased appetite	0.487
Weight gain	0.489
Hallucinations	
Delusions and hallucinations ≥ 1 week	0.971
Persecutory/jealous delusions with hallucinations	0.968
Third-person auditory hallucinations	0.734
Running commentary voices	0.659
Abusive/accusatory/persecutory voices	0.796
Other nonaffective auditory hallucinations	0.568
Nonaffective hallucination in any modality	0.986

toms are similar (i.e., both represent a "gain of function" over normally existing psychic activity, as well as non-veridical thought content). This association is further supported by the traditional observation that both hallu-

TABLE 2. Transmission Disequilibrium Test Statistics and Frequency of the High-Risk Haplotype in Subgroups With Scores in the Upper 20th and 40th Percentiles on Factors of the Operational Criteria Checklist for Psychotic Illness Among Subjects With Narrowly and Broadly Defined Psychotic Illness in the Irish Study of High-Density Schizophrenia Families

Definition of Illness and Factor	Upper 20th Percentile Subgroup				Upper 40th Percentile Subgroup			
	Frequency of High-Risk Haplotype	N	χ^2 (df=1)	p ^a	Frequency of High-Risk Haplotype	N	χ^2 (df=1)	p ^a
Narrow definition^b								
Negative	0.075	122	4.57	0.25	0.088	275	17.81	0.004
Hallucinations	0.078	141	2.81	0.53	0.058	271	8.41	0.24
Delusions	0.087	112	5.16	0.19	0.080	255	8.971	0.18
Depressive	0.070	119	5.79	0.16	0.067	258	10.91	0.09
Manic	0.078	105	3.42	0.33	0.076	202	7.48	0.19
Broad definition^c								
Negative	0.074	123	4.56	0.25	0.091	287	16.74	0.01
Hallucinations	0.074	149	1.97	0.66	0.077	287	7.88	0.32
Delusions	0.078	159	5.16	0.29	0.082	274	10.41	0.13
Depressive	0.067	141	7.07	0.12	0.059	292	4.63	0.67
Manic	0.089	135	3.13	0.49	0.083	240	10.86	0.08

^a P values were determined empirically by using 5,000 replicates in which groups of subjects that had the same number of affected subjects as the clinical subgroup of interest were randomly selected from the entire sample.

^b Schizophrenia or poor-outcome schizoaffective disorder.

^c Presence of at least one lifetime episode of nonaffective psychosis, including good-outcome schizoaffective disorder, schizophreniform disorder, delusional disorder, and psychosis not otherwise specified.

inations and delusions respond to typical neuroleptic treatment more readily than do other symptoms (1). However, in our analysis, hallucinations and delusions loaded on two separate factors. These results are supported by studies in the neurobiology of schizophrenia that suggest possible etiological discontinuities between hallucinations and delusions. For example, the subjective experience of hallucinations specifically has been demonstrated to temporally correlate with activation of discrete neural structures, such as Heschl's gyrus and the temporal cortex (32, 33).

Second, symptoms of disorganization such as formal thought disorder loaded on the negative symptom factor instead of forming a distinct factor. However, this result is consistent with previous analyses in this sample using the Major Symptoms of Schizophrenia Scale (34). It should be noted that differences in factor structure across studies might be due to differences in sample ascertainment, phase of illness, population, and the assessment instrument used, as well as the methods used for rotation of the factors and determination of the number of factors (4). Subjects ascertained from high-density families may have a higher mean length of illness than subjects from unselected families, as the onset of schizophrenia may be earlier in high-density families.

Relationship Between High-Risk Haplotype and Clinical Features

The main purpose of this study was to determine whether variation in a gene that has been associated with schizophrenia in several samples imparted risk for a more or less specific form of illness. This question has two important and related dimensions—one theoretical and one practical.

Most important, it will be necessary to understand whether the clearly heterogeneous group of syndromes collectively placed under the rubric of schizophrenia is

one or many diseases. The current state of our neurobiological understanding of schizophrenia has not allowed a clear-cut disease process, necessary for the validation of any disease, to be put forward. The greatest level of detail in this understanding yet achieved is the implication of genetic variation in specific genes, such as *DTNBP1*, in illness susceptibility, although the causative aberrations in these genes are still unknown. An affirmative answer to the question of whether genetic variation in a gene associated with schizophrenia imparts risk for a specific form of the illness would advance the argument that schizophrenia represents a more or less heterogeneous group of diseases, each of which could be associated with more or less specific clinical features. This view would be in contrast to current nosological schemes, which group several syndromes into one illness entity, and it would in turn have important implications for treatment. According to this view, treatment could theoretically be designed to target specific pathophysiological processes and could be individually tailored to cases meeting criteria that are more refined than those currently available.

This study provides evidence that genetic variation within a specific gene not only increases the risk of schizophrenia but also does so in a more or less clinically specific manner. The high-risk haplotype was more likely to be transmitted to subjects with high levels of negative symptoms than to other subjects. Several tests were used, and many of the tests were correlated. For example, all Operational Criteria Checklist for Psychotic Illness factors were correlated with at least one other factor, membership in the upper 20th percentile for any factor was a subset of membership in the upper 40th percentile, and the subjects with narrowly defined illness were a subset of the subjects with broadly defined illness. At present, methods of correction for multiple testing in genetic studies have

not been universally agreed on. However, it is common to perform linkage analysis by using multiple diagnostic thresholds, as we did in the present study. Although the possibility of false positive results due to multiple testing is acknowledged, strict Bonferroni correction is not often advocated. We therefore interpret our results as highly suggestive and as warranting replication in other samples.

At this stage, the mechanism by which mutations in *DTNBP1* increase liability to schizophrenia is not at all clear, making a potential relationship with negative symptoms even more speculative. Recent neuropathological studies of dysbindin have revealed reduced expression in two areas: the prefrontal cortex (19) and the hippocampal formation (20). The two structures are involved in cognitive functions, such as working memory and memory formation, respectively. Although previous factor analyses in this area have extracted a separate cognitive factor (4), ours did not. However, our negative factor included several items that probably tap cognitive dysfunction. These items included positive and negative formal thought disorder, "incoherent," "speech difficult to understand," and "information not credible." Consistent with this interpretation is the correlation of negative symptoms and cognitive dysfunction found both in patients with first-episode schizophrenia (35) and in patients with chronic schizophrenia (36). Furthermore, patients with high levels of negative symptoms perform worse on cognitive tasks subserved by the prefrontal cortex, such as spatial working memory (37).

Structural and functional aberrations in the prefrontal cortex in particular may be specifically related to the severity of negative symptoms. Subjects with prominent negative symptoms may be more likely to have decreased volume of gray (38, 39) and white matter (40) in this region, as well as decreased regional cerebral blood flow to this region (41, 42). They may also have greater prefrontal neuronal pathology, as evidenced by lower levels of *N*-acetylaspartate (43). More generally, a group of subjects inheriting the high-risk haplotype should be enriched in genetic (and, therefore, biologically predisposed) cases, as phenocopies would not inherit the high-risk haplotype by definition. Patients with prominent negative symptoms may have more severe neurobiological abnormalities than other patients, in addition to those found in the prefrontal cortex. These abnormalities include smaller volume of the temporal cortex (44–46), ventricular enlargement (45, 47, 48), and less cortical folding (49).

In testing other haplotypes for association with clinical features, an unexpected finding was that haplotype 3 was overtransmitted to subjects in the upper 40th percentile for the depressive symptoms factor. It is noteworthy that this haplotype is composed of the minor allele of p1325 only, which was not significant in single-marker analyses in this sample (8, 23) and in other samples from Bulgaria (17) and Ireland (50). However, it was significant in a German-Israeli sample (11) and was the only significant indi-

vidual marker in a Swedish sample (15). We therefore interpret these results with great caution. Furthermore, because of the lack of any a priori evidence to suggest the association of any other haplotypes with any symptom factor *or* with the illness itself, all five additional haplotypes were tested against all five symptom factors, in both diagnostic groups and with both cutoff values. This analysis included a total of 80 additional tests, although again, several Operational Criteria Checklist for Psychotic Illness factors were correlated with each other and with other factors, both within subjects and within sibling pairs. The multiple tests and their exploratory nature make it very difficult to rule out false positive results for the other haplotypes.

Because it will be vital to improve the ability to detect susceptibility genes in the service of their successful identification, it will be important to understand the relationship between the clinical phenotype and genetic linkage and association signals. Several studies have demonstrated that stratification on the basis of clinical features can improve the evidence for linkage at specific chromosomal regions in bipolar disorder (51), autism (52), and systemic lupus erythematosus (53). A recent study by our group demonstrated improved evidence for linkage at a previously linked region when families that were positive for the high-risk haplotype were removed from the analysis (unpublished 2003 data of B. Webb, et al.). These studies imply that making linkage samples as homogeneous for genetic subtypes as possible can reduce the genetic heterogeneity that has been theorized to contribute to the difficulty of studying complex traits. These studies taken together also suggest a relationship between genetic and clinical subtypes. In theory, resources could therefore be used more efficiently if large-scale gene finding studies could maximize the genetic signal-to-noise ratio by targeting clinical, and therefore genetic, subtypes. In breast cancer, use of a sample of early-onset cases increased the evidence for linkage to chromosome 17q (54), which led to the subsequent cloning of *BRCA1* (55). Substantial effort is currently being dedicated to identifying causative mutations in schizophrenia susceptibility genes and the mechanism by which they increase risk. When such mutations are identified, it will be possible to study the relationship between genetic and clinical heterogeneity more definitively and therefore to better inform psychiatric nosology.

Although sibling pairs were significantly correlated for all factors except hallucinations—suggesting familial influences on the expression of these features—we failed to discern any significant relationships between transmission of the high-risk haplotype and the delusions, manic symptom, and depressive symptom factors. Such familial aggregation could be due to common environmental influences such as prenatal or perinatal events, nutrition, infection, education, social class, and parental factors. Modifier genes, defined as loci influencing clinical features without altering susceptibility, could also be responsible.

A genome scan for age at onset (56) as well as one performed by our group (unpublished 2003 data of A. Fanous, et al.) has supported this suggestion, as has an association study of several candidate genes in our sample (57). It is also possible, however, that we lacked the statistical power to resolve the true effect of *DTNBP1* variation on these clinical features. Another limitation is that the high-risk haplotype cannot be said to increase risk of illness itself, but it is presumed to be in linkage disequilibrium with one or more causative mutations in *DTNBP1* that have yet to be identified. It is therefore not possible to determine the degree of correlation of the high-risk haplotype with these mutations, although such a correlation is assumed to exist. Therefore, associations between the high-risk haplotype and clinical features are at best inexact approximations of the relationship between the actual causative variations and clinical features.

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