Brief Report

Folate, Homocysteine, and Negative Symptoms in Schizophrenia

Donald C. Goff, M.D. Teodoro Bottiglieri, Ph.D. Erland Arning, Ph.D. Vivian Shih, M.D. Oliver Freudenreich, M.D. A. Eden Evins, M.D. David C. Henderson, M.D. Lee Baer, Ph.D. Joseph Coyle, M.D.

Objective: Because glutamate carboxypeptidase II (GCPII) regulates both folate absorption and activation of *N*-methyl-D-aspartic acid receptors, the authors examined relationships between serum folate concentrations and clinical symptoms in schizophrenia patients.

Method: For 91 outpatients with schizophrenia, clinical assessments were performed and serum folate, homocysteine, B_{12} , glycine, and serine concentrations were measured.

Results: Serum folate concentrations were significantly lower than in a representative sample from the Framingham Offspring Study. Folate concentration correlated inversely with the Scale for Assessment of Negative Symptoms total score and was lower in patients with the deficit syndrome than in nondeficit patients. Homocysteine concentration correlated with the severity of extrapyramidal symptoms.

Conclusions: These findings could reflect several possible mechanisms, including low dietary intake of folate, low GCPII activity, cigarette smoking, and the involvement of folate in the synthesis of neurotransmitters. Additional studies are needed to clarify these findings.

(Am J Psychiatry 2004; 161:1705-1708)

Several lines of evidence have implicated hypoactivity of *N*-methyl-D-aspartic acid (NMDA) receptors in schizophrenia (1), and the findings include low brain levels of glutamate carboxypeptidase II (GCPII) (2). GCPII converts the NMDA antagonist *N*-acetyl-aspartyl glutamate to *N*-

acetyl aspartate and glutamate (3). GCPII also is found in the intestinal epithelial brush border membrane, where it cleaves glutamate moieties from dietary folyl-polyglutamates to facilitate folate absorption (4). This dual role for GCPII suggests that both hypofolatemia and clinical symp-

TABLE 1. Characteristics of	Schizophrenia (Outpatients With	and Without the	Deficit Syndrome ^a
INDEE II CHARACTERISTICS OF	Schizophichia	outputients mith	und michoat the	Denere Synaronie

Variable		bjects 91) ^b	With Deficit Syndrome (N=12)		Without Deficit Syndrome (N=75)	
	N		Ν		N	
Demographic characteristics						
Gender						
Male	68		11		56	
Female	21		1		19	
Race						
Caucasian	60		11		49	
Black	25		1		24	
Hispanic	1		0		1	
Asian	1		0		1	
	Mean	SD	Mean	SD	Mean	SD
Age (years)	42.90	8.97	38.17	9.96	43.27 ^{c,d}	8.33
Cigarettes smoked per day	15.07	14.69	18.64	11.85	14.95	15.07
Clinical measures						
Scale for the Assessment of Negative Symptoms total score	41.31	13.42	59.83	11.90	38.35 ^{c-e}	11.12
Positive and Negative Syndrome Scale positive subscale score	14.42	5.17	13.75	5.88	14.53	5.09
Hamilton Depression Rating Scale score	11.98	5.15	12.42	2.32	11.91	5.43
Simpson-Angus Rating Scale score	3.70	3.81	5.67	4.99	3.39 ^{c,e}	3.52
Abnormal Involuntary Movement Scale score	2.06	2.85	2.33	2.42	2.01	2.92
WAIS IO	84.96	13.51	84.45	11.96	84.65	13.58
Serum levels						
Folate (ng/ml)	5.74	3.48	3.92	2.40	6.14 ^{c-e}	3.59
Homocysteine (µmol/liter)	10.25	4.52	10.40	2.99	9.98	4.69
B ₁₂ (pmol/liter)	487.66	203.11	394.23	104.96	508.36 ^{c,d}	213.64
Serine (µmol/liter)	104.57	25.30	96.48	18.16	105.78	26.21
Glycine (µmol/liter)	260.37	98.34	216.71	47.29	260.73	91.84

^a Deficit syndrome status was determined in 87 patients with the Schedule for the Deficit Syndrome (6).

^b Data on some variables were not available for all subjects.

^c Significant difference between deficit and nondeficit patients (p<0.05, t test).

^d Significant difference between deficit and nondeficit patients (p<0.05, Mann-Whitney U nonparametric test).

^e Significant difference among groups (p<0.05, analysis of covariance controlling for number of cigarettes smoked per day).

toms of NMDA hypofunction might reflect low GCPII activity. In addition, folate deficiency could have diverse effects on the neurochemistry of schizophrenia, as folate functions as a single carbon donor in the synthesis of glycine from serine. Folate is also involved in the synthesis of dopamine, norepinephrine, and serotonin through *S*adenosylmethionine methylation pathways (5) and in the conversion of homocysteine to methionine.

We studied a group of outpatients with schizophrenia to test the hypotheses that folate concentrations would correlate inversely with negative symptoms and that homocysteine concentrations would correlate positively with cognitive impairment and extrapyramidal symptoms.

Method

After giving written informed consent, 100 consecutive outpatients with schizophrenia were enrolled. A research psychiatrist confirmed the diagnosis of schizophrenia and administered the Schedule for the Deficit Syndrome (6). Patients were excluded if they were taking anticonvulsants or other drugs known to affect folate or homocysteine concentrations (N=3), were actively abusing alcohol (N=5), or had renal insufficiency (N=1). Patients were assessed with the Positive and Negative Syndrome Scale, Scale for the Assessment of Negative Symptoms (SANS), Hamilton Depression Rating Scale, Global Assessment Scale, Simpson-Angus Rating Scale for extrapyramidal side effects, and Abnormal Involuntary Movement Scale (AIMS). A cognitive battery was also administered and included the WAIS-III, Trails A and B, FAS verbal fluency test, Wisconsin Card Sorting Test, Stroop Test, Finger Tapping Test, and California Verbal Learning Test. Phlebotomy was performed, and serum samples (nonfasting) were assayed for folate, total homocysteine, B₁₂, glycine, and serine concentrations.

To compare folate and homocysteine concentrations in our patients with those of a representative nonpsychiatric sample, we used published mean plasma folate and homocysteine concentrations from a sample of 248 subjects from the sixth examination of the Framingham Offspring Study cohort who were not taking folate supplements (7).

Serum folate and vitamin B_{12} concentrations were determined by using cloned enzyme donor immunoassay kits for folate (no boil) and vitamin B_{12} (no boil) according to the manufacturer's instructions. The between-day coefficients of variation for the folate and vitamin B_{12} assays were 6.8% and 7.5%, respectively. Serum homocysteine was measured by a fluorescence polarization immunoassay method with a coefficient of variation of 3.7%– 5.2%. Serum serine and glycine concentrations were measured by ion-exchange high-performance liquid chromatography with postcolumn derivatization using ninhydrin and were detected by ultraviolet-visible spectral analysis at 570 nm.

Associations between the clinical rating scale scores and the levels from the serum assays were tested by Pearson's correlation coefficient. Where smoking status was found to be a significant moderating variable, correlations were also computed separately for the subgroups of smokers and nonsmokers, and analysis of covariance was computed by using the daily number of cigarettes smoked as a covariate. Unpaired t tests were used to test for significant differences in mean scores on the clinical rating scales and the levels from the serum assays between the subjects with and without the deficit syndrome. To protect against biased p values due to unequal group sizes, the nonparametric Mann-Whitney U test was also used. All analyses were two-sided, and alpha was set at <0.05.

Results

Results of the clinical ratings and serum assays were recorded for 91 subjects, who constitute the study group for statistical analyses (Table 1). Four subjects were not classified in terms of the deficit syndrome because of insufficient longitudinal clinical data. The mean folate level of 5.74 ng/ml in our total group was significantly lower than the population value of 10.00 ng/ml found in the Framingham Offspring Study (t=11.63, df=31, p<0.001). Smokers had a mean folate level of 4.87 ng/ml (SD=2.22), which was significantly lower than the level of the nonsmokers, who had a mean level of 7.21 ng/ml (SD=4.60) (t=3.25, df=89, p<0.01). The folate levels of both the smokers (t=17.37, df= 56, p<0.001) and nonsmokers (t=3.53, df=33, p=0.001) were significantly lower than the level from the Framingham Offspring Study. The folate concentration did not differ significantly between men and women (mean=6.09 ng/ ml, SD=3.80, versus mean=4.99 ng/ml, SD=1.97) (p=0.20), did not differ between patients taking atypical and typical antipsychotic medications (mean=5.54, SD=3.44, versus mean=5.95, SD=3.55) (p=0.57), and did not correlate with age. The homocysteine concentration did differ between men and women (mean=10.69 µmol/liter, SD=4.92, versus mean=8.38, SD=1.85) (t=2.09, df=87, p=0.04) but did not correlate with age or number of cigarettes smoked daily and did not differ significantly from the value for the Framingham Offspring Study.

The folate concentration significantly negatively correlated with the SANS total score (r=-0.31, N=91, p<0.01) and was significantly lower in the patients with the deficit syndrome than in those without the deficit syndrome (Table 1). The correlation between SANS total score and folate concentration was significant in nonsmokers (r=-0.40, N= 34, p=0.03) but not in smokers (r=-0.05). Folate and homocysteine levels were not significantly correlated with any of the cognitive measures (for all, r<0.20). The homocysteine serum concentration correlated significantly with the Simpson-Angus Rating Scale total score (r=0.29, N=91, p<0.01) but not with the AIMS score.

The folate concentration did not correlate with the glycine or serine concentration nor with the ratio of glycine to serine (p>0.10). The SANS total score significantly negatively correlated with the glycine (r=-0.29, N=87, p=0.001) and serine (r=-0.23, N=87, p=0.03) serum concentrations. A multiple regression using gender, cigarettes per day, and folate, glycine, serine, B₁₂, and homocysteine concentrations as independent variables revealed that only the folate and glycine concentrations remained significant predictors of the SANS total score (p<0.05). Results of additional analyses are available by request.

Discussion

Serum folate concentrations in this group of schizophrenia outpatients significantly correlated with the severity of negative symptoms, an association that is consistent with hypothesized links to GCPII activity in the gut and brain. Similar to previous results (8–11), folate concentrations were lower than those of subjects in the Framingham Offspring Study, a geographically proximate sample who were not taking folate supplements and who were sampled after implementation of folate enrichment of foods in 1997 (7). Whereas hypofolatemia (<3 ng/ml) was found in only 1.7% of the Framingham sample, 16.4% of our study group met the criterion for hypofolatemia.

Differences in folate concentrations between our subjects and the Framingham sample may partly reflect higher smoking rates among schizophrenia patients than among the general population, but the difference remained significant even when nonsmoking patients were compared to the Framingham sample. In fact, the apparent lowering of folate concentrations by cigarette smoking may have obscured the inverse relationship between folate and negative symptoms, since the correlation was significant only among nonsmokers.

Factors other than GCPII activity could also account for our findings. Low folate levels in our subjects may reflect reduced dietary intake, as was reported among schizophrenia inpatients (9). If patients with negative symptoms ingest less folate because of idiosyncratic dietary patterns, low folate levels could be a result, rather than a cause, of negative symptoms. The lack of a relationship between folate concentration and the ratio of glycine to serine concentrations suggests that folate's link to negative symptoms is unlikely to be mediated by its involvement in the conversion of serine to glycine.

Homocysteine concentrations did not correlate with cognitive deficits despite the reported association between hyperhomocysteinemia and dementia (11). The correlation between homocysteine concentration and the severity of extrapyramidal symptoms is of interest, given findings linking homocysteine to dopamine-mediated toxicity and the pathogenesis of Parkinson's disease (11, 12). We did not replicate the finding of Levine and colleagues (13) of high homocysteine concentrations (mean= 16.4 μ M) in a group of Israeli schizophrenia patients, possibly because of folate supplementation of enriched grain products in the United States.

In summary, serum folate concentration significantly inversely correlated with severity of negative symptoms, a relationship that was significant only in nonsmokers. Glycine and serine serum concentrations also correlated with negative symptom severity; after controlling for multiple factors, we found glycine and folate to predict negative symptoms independently. Dietary intake, GCPII polymorphism status, and cigarette smoking might all play roles in determining serum folate concentration, although cigarette smoking status was not related to negative symptoms in our study group. Low folate may also contribute to negative symptoms through effects on the synthesis of glycine or monoamine neurotransmitters. Future studies to examine factors contributing to low folate concentrations in schizophrenia are needed, as are controlled trials of folate augmentation.

Received Nov. 4, 2003; revisions received Feb. 3 and Feb. 28, 2004; accepted March 4, 2004. From the Schizophrenia Program and the Neurology Service, Massachusetts General Hospital, Boston; and the Institute of Metabolic Disease, Baylor University Medical Center, Dallas. Address reprint requests to Dr. Goff, Freedom Trail Clinic, 25 Staniford St., Boston, MA 02114; goff@psych.mgh.harvard.edu (e-mail).

Supported by NIMH grants MH-02025 (Dr. Goff) and MH-60450 (Dr. Coyle) and by an Independent Investigator Award from the National Alliance for Research on Schizophrenia and Depression (Dr. Goff).

References

- 1. Goff DC, Coyle JT: The emerging role of glutamate in the pathophysiology and treatment of schizophrenia. Am J Psychiatry 2001; 158:1367–1377
- 2. Tsai G, Passani LA, Slusher BS, Carter R, Baer L, Kleinman JE, Coyle JT: Abnormal excitatory neurotransmitter metabolism in schizophrenic brains. Arch Gen Psychiatry 1995; 52:829–836
- 3. Coyle J: The nagging question of the function of N-acetylaspartylglutamate. Neurobiol Dis 1997; 4:231–238
- 4. Devlin AM, Ling EH, Peerson JM, Clarke R, Smith AD, Halsted CH: Glutamate carboxypeptidase II: a polymorphism associ-

ated with lower levels of serum folate and hyperhomocysteinemia. Hum Mol Genet 2000; 9:2837–2844

- Bottiglieri T, Laundy M, Crellin R, Toone BK, Carney MW, Reynolds EH: Homocysteine, folate, methylation, and monoamine metabolism in depression. J Neurol Neurosurg Psychiatry 2000; 69:228–232
- Kirkpatrick B, Buchanan RW, McKenney PD, Alphs LD, Carpenter WT Jr: The Schedule for the Deficit syndrome: an instrument for research in schizophrenia. Psychiatry Res 1989; 30:119–123
- Jacques PF, Selhub J, Bostom AG, Wilson PWF, Rosenberg IH: The effect of folic acid fortification on plasma folate and total homocysteine concentrations. N Engl J Med 1999; 340:1449–1454
- Herran A, Garcia-Unzueta MT, Amado JA, Lopez-Cordovilla JJ, Diez-Manrique JF, Vazquez-Barquero JL: Folate levels in psychiatric outpatients. Psychiatry Clin Neurosci 1999; 53:531–533
- Koren G, Cohn T, Chitayat D, Kapur B, Remington G, Reid DM, Zipursky RB: Use of atypical antipsychotics during pregnancy and the risk of neural tube defects in infants. Am J Psychiatry 2002; 159:136–137
- Godfrey PS, Toone BK, Carney MW, Flynn TG, Bottiglieri T, Laundy M, Chanarin I, Reynolds EH: Enhancement of recovery from psychiatric illness by methylfolate. Lancet 1990; 336: 392–395
- Mattson MP, Shea TB: Folate and homocysteine metabolism in neural plasticity and neurodegenerative disorders. Trends Neurosci 2003; 26:137–146
- 12. Miller JW: Homocysteine, folate deficiency, and Parkinson's disease. Nutr Rev 2002; 60:410–413
- 13. Levine J, Stahl Z, Ami B, Slava S, Ruderman GV, Belmaker RH: Elevated homocysteine levels in young male patients with schizophrenia. Am J Psychiatry 2002; 159:1790–1792