## Article

## Cholinergic Activity in Autism: Abnormalities in the Cerebral Cortex and Basal Forebrain

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**Objective:** Measures of cholinergic transmitter activity were investigated in patients with autism because of reported neuropathological abnormalities in cholinergic nuclei in the basal forebrain.

**Method:** Levels of cholinergic enzyme and receptor activity were measured in the frontal and parietal cerebral cortex of deceased autistic adults, similarly aged normal adults without mental retardation, and nonautistic mentally retarded adults. The immunoreactivity levels of brain-derived neurotrophic factor and nerve growth factor were measured in the basal forebrain.

**Results:** There were no differences between the autistic and comparison groups in choline acetyltransferase or acetylcholinesterase activity in the cerebral cortex and basal forebrain or in muscarinic  $M_2$  receptor or  $\alpha$ -bungarotoxin binding within the cortex. Cortical  $M_1$  receptor binding was up to 30% lower than normal in the autistic subjects, and the difference reached significance in the parietal cortex. In both the parietal and frontal cortices, differences in nicotinic receptors assessed by [<sup>3</sup>H]epibatidine binding were significant and extensive (65%-73% lower in the autistic group than in the normal subjects); there were no differences in nicotine binding in the basal forebrain. Immunochemical analysis indicated lower levels of both the  $\alpha_4$  and  $\beta_2$  nicotinic receptor subunits in the parietal cortex. The M1 receptor abnormality was not evident in the nonautistic group with mental retardation, although the lower [3H]epibatidine binding was apparent. In the basal forebrain, the level of brain-derived neurotrophic factor in the autistic group was three times as high as the level of the normal group.

**Conclusions:** These neurochemical abnormalities implicate the cholinergic system in developmental disorders such as autism and suggest the potential for intervention based on cholinergic receptor modulation.

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here is as yet no etiology-based treatment or cure for autism. Neurotransmitter signaling systems are relevant to symptom etiology and treatment and play a critical role in brain development. Investigations of autism have included only a few transmitters, including serotonin, dopamine, noradrenaline, and several neuropeptides (1). These studies have mostly involved measurements in blood or cerebrospinal fluid and response to pharmaceutical agents.

To our knowledge, the cholinergic system has not previously been investigated neurochemically in autism, and yet there have been reports of pathological abnormalities in basal forebrain (septal) cholinergic neurons, such as larger than normal size and numbers in children and small size and numbers in adults (2). Cholinergic afferents innervate the cerebral cortex during the most dynamic periods of neuronal differentiation and synapse formation, suggesting they play a regulatory role in these events (3). In the rodent cortex, cholinergic innervation is the latest of the modulatory afferent innervations to mature (4). In the human cerebral cortex and hippocampus, measures of cholinergic activity, including levels of choline acetyltransferase (the enzyme synthesizing the transmitter) and high-affinity nicotinic receptor binding, demonstrate substantial changes postnatally (5, 6), during the early postnatal period, when symptoms of autism first become manifest (7). Disruption of cholinergic innervation during early postnatal development (e.g., neonatal basal forebrain cholinergic lesions in rats) results in delayed cortical neuronal development and permanent changes in cortical cytoarchitecture and cognitive function (3). Abnormalities in cortical cytomorphology, including abnormal thalamic afferent distribution in layer IV (8–10), are said to resemble pathologies associated with developmental disorders resulting in mental retardation (11).

In view of the neuropathological evidence for involvement of the basal forebrain cholinergic system in autism, and the importance of this system in cortical development, cholinergic biomarkers were investigated in the basal forebrain and cerebral cortex (which receives a dense innervation from basal forebrain cholinergic neurons) in autistic individuals. Using available brain bank material, we analyzed tissue samples for the following biomarkers: 1) choline acetyltransferase biochemical activity, which is specific for cholinergic neurons; its decline is used as a standard measure of cholinergic neuronal loss or dysfunction (12); 2) acetylcholinesterase activity as a relatively specific cholinergic marker; 3) cholinergic receptors located pre- and postsynaptically, including muscarinic  $M_1$  and  $M_2$  and high- and low-affinity nicotinic subtypes; and 4) both nerve growth factor and brain-derived neurotrophic factor, which control cholinergic neuronal function.

## Method

### Subjects

Autopsy tissue was examined from seven adults with autism meeting the DSM-IV criteria (which include delay or abnormal functioning in at least one of the following: social interaction, language, or symbolic or imaginative play). Clinical details were elicited from the parents by using the revised Autism Diagnostic Interview (13). Ratings for the different interview areas were as follows: communication, nonverbal, 13-21; social, 22-25; behaviors, 5-10; and development, 2-5. For all subjects for whom information was available, there was clinical evidence of mental retardation (IO, <20 to <50), and for 50% there was evidence of epilepsy. The causes of death for the autistic subjects were cardiac arrest, pneumonia, asphyxia, chronic renal failure, and fire. Comparisons were made with 10 normal individuals without mental retardation, with six individuals affected by other congenital cerebral disorders involving intellectual impairment (associated with birth trauma, viral encephalopathy, congenital cerebellar degeneration, or congenital epilepsy), and with three adults with Down's syndrome. There was no history of mental retardation in the normal group, and the causes of death were respiratory failure (traffic accident), asphyxia (laryngeal injury), drug overdose, myocardial infarction or left ventricular failure, and pneumonia. Age, postmortem delay, and gender are provided in Table 1. There were no significant differences between the groups in mean age or postmortem delay.

Frozen tissue was obtained from the Newcastle Brain Bank (United Kingdom), Harvard Brain Tissue Resource Center (United States), and University of Miami Brain and Tissue Bank for Developmental Disorders (United States); the samples for five of the seven autistic subjects were from the Harvard center. For each of the Harvard subjects, written consent approved by the McLean Hospital institutional review board was obtained from the legal next of kin, authorizing donation of tissue to the bank for use in research. For the Newcastle series, permission for postmortem study and for brain donation were obtained by prior consent from the next of kin, in accordance with the regulations of the North Tyneside Health Authorities Joint Ethics Committee.

For the Newcastle series, 1-cm coronal sections of the left hemisphere were snap frozen in liquid chlorodifluoromethane and stored at  $-70^{\circ}$ C; the right hemisphere was fixed in paraformaldehyde. Tissue from the Harvard Brain Tissue Resource Center was subdissected at  $-20^{\circ}$ C from whole hemispheres (three right and two left) originally frozen intact. The cortical tissue included the frontal cortex (Brodmann's area 9) and parietal cortex (Brodmann's area 39). The basal forebrain included the area just caudal to the anterior commissure and ventral to the globus pallidus, within the region of the substantia innominata.

#### **Receptor Autoradiography**

Frozen tissue blocks were subdissected at  $-20^{\circ}$ C and mounted onto aluminum chucks. Then 10-µm cryostat sections were cut at  $-12^{\circ}$ C and thaw mounted onto slides coated with Vectabond (Vector Laboratories, Burlingame, Calif.). The sections were air dried at room temperature for 2 hours before storage at  $-70^{\circ}$ C. Adjacent sections were used to compare total with nonspecific

TABLE 1. Characteristics of Autistic Subjects and Three
Comparison Groups in Postmortem Study of Cholinergic
Activity in Cerebral Cortex and Basal Forebrain

-							
	Age (y	/ears)	Inte Betv Deatl Auto (ho	Gender			
Group <sup>a</sup>	Mean	SD	Mean	SD	Number of Men	Number of Women	
Normal (N=10)	32.30	10.01	37.20	17.94	8	2	
Autism (N=7) Down's syndrome	24.00	5.42	25.27	21.42	6	1	
(N=3) Other mental retardation	36.00	6.08	64.67	49.65	2	1	
(N=6)	30.17	4.62	38.50	15.31	3	3	

<sup>a</sup> Not all subjects were available for all analyses presented in Tables 2–7.

binding; those determinations were made in duplicate and single, respectively.

Muscarinic M1 receptor binding was measured by using 2 nM [<sup>3</sup>H]pirenzepine with incubation for 1 hour; binding was assessed in the presence and absence of 1 µM atropine (14). For M2 receptor binding, 7 nM [<sup>3</sup>H]AFDX-384 (2,3-dipropylamine) was used with displacement by 1 µM atropine and incubation for 1 hour (15). For epibatidine binding to the nicotinic receptor  $(\alpha_3/\beta_2)$  or  $\alpha_4/\beta_2$  subunit), sections were incubated with 1 nM (±)[<sup>3</sup>H]epibatidine for 3 hours at room temperature, and nonspecific binding was assessed in the presence of 1  $\mu$ M cytisine (6). [<sup>125</sup>I] $\alpha$ -Bungarotoxin binding (α7 subunit) was measured by using 1.2 nM radioligand, and nonspecific binding was measured in the presence of 2.5 mM nicotine during both the pre-incubation period (30 minutes) and incubation (2 hours) (6). The sections were washed and dried after incubation and exposed to high-performance autoradiography film with high and low tritium standards for 3 weeks for pirenzepine, 2 months for AFDX-384, 3 months for epibatidine, and 1 week for α-bungarotoxin. After development, the images were quantified by using a Lynx densitometry system (Applied Imaging International, Newcastle Upon Tyne, U.K.).

#### Membrane Receptor Binding

For nicotine binding to the basal forebrain, membranes were prepared (16) and incubated in triplicate with 2 nM [ $^3$ H]nicotine with or without unlabeled 1 mM nicotine. The membranes were collected on Whatman GF/C glass fiber filters (presoaked in 0.3% polyethyleneimine) by using a PHD cell harvester (model 2000, Cambridge Technology, Watertown, Mass.). The filters were washed three times in buffer, and the beta radiation was counted by using a scintillation counter.

For the determination of the concentrations producing 50% inhibition (IC<sub>50</sub>) of epibatidine and pirenzepine binding, washed membrane pellets were prepared from subjects for whom sufficient tissue from the parietal cortex was available (three normal subjects and two autistic subjects). Protein was measured by the method of Lowry et al. (17). For epibatidine binding, resuspended membranes were pre-incubated with  $1 \times 10^{-12}$  to  $10^{-8}$  M unlabeled epibatidine, before the addition of 1 nM [<sup>3</sup>H]epibatidine, and incubated for 3 hours at room temperature. For the M<sub>1</sub> receptor,  $1 \times 10^{-11}$  to  $10^{-4}$  M unlabeled pirenzepine and 2 nM [<sup>3</sup>H]pirenzepine were used with 1 hour of incubation. The membrane suspensions were filtered through Whatman GF/C filters (presoaked in 0.5% polyethyleneimine), and beta radiation was determined by using a liquid scintillation counter. Binding was analyzed by TABLE 2. Choline Acetyltransferase Homogenate Activity in Frontal and Parietal Cortex of Autistic Subjects and Three Comparison Groups

		Frontal Co	rtex		Parietal Co	rtex
		Cho Acetyltra (nmo mg <sup>-1.</sup> p	line Insferase I·hr <sup>-1</sup> · Irotein)		Cho Acetyltra (nmol mg <sup>-1.</sup> p	line nsferase ∙hr <sup>–1,</sup> rotein)
Group	Ν	Mean	SD	Ν	Mean	SD
Normal	7	4.80	1.43	5	3.88	0.52
Autism Down's	7	4.32	1.77	5	3.35	0.94
syndrome Other mental	3	4.16	0.52	3	4.09	1.70
retardation	6	5.15	1.71	6	3.94	0.56

using nonlinear regression and assuming a one-site competition model (GraphPad Prism, GraphPad Software, San Diego).

#### **Nicotinic Receptor Subunits**

In select samples of the parietal cortex, nicotinic receptor subunits  $\alpha_3,\,\alpha_4,\,\alpha_7,\,and\,\beta_2$  were quantified by using Western blotting (18). The polyclonal antibodies used were as follows: antihuman nicotinic acetylcholine receptor  $\alpha_3$  antibody (antibody 607), against the carboxy terminus of the nicotinic acetylcholine receptor (19); sc-1772 raised against the carboxy terminus of the nicotinic acetylcholine receptor α<sub>4</sub> subunit (Santa Cruz Biotechnology, Santa Cruz, Calif.); sc-1447, against the carboxy terminus of the  $\alpha_7$  subunit (Santa Cruz Biotechnology); and antihuman nicotinic acetylcholine receptor  $\beta_2$  subunit raised in rabbit against a human epitope, corresponding to an amino acid sequence in the cytoplasmic loop region at position 350-452 between the M3 and M<sub>4</sub> transmembrane domains (20). Membrane pellets, prepared as already described, were subjected to sodium dodecyl sulphate polyacrylamide gel electrophoresis and electroblotting onto polyvinylidene fluoride membranes as described previously (18). The samples were evaluated by Western blotting between three and five times.

#### Choline Acetyltransferase

Representative samples of gray matter (including all cortical layers) were removed, and 10% homogenates of these and basal forebrain samples were prepared in 0.32 M sucrose containing 0.5% Triton X-100 at 4°C. Duplicate or triplicate samples were incubated at 37°C for 20 minutes with [<sup>14</sup>C]acetyl coenzyme A by using, for the cortical samples, an adaptation (21) of the method of Fonnum (22) and, for the basal forebrain samples, the original Fonnum method (22).

#### Acetylcholinesterase

For histochemical analyses of the cortical samples, 10- $\mu$ m cryostat sections were fixed in formal calcium and incubated with acetylthiocholine iodide with staining intensification as previously described (23). Acetylcholinesterase biochemical activity in basal forebrain homogenates was measured in duplicate according to the method of Ellman et al. (24) in the presence of  $1 \times 10^{-5}$ M iso-octamethyldiphosphoramide, a specific inhibitor of butyrylcholinesterase activity.

## Nerve Growth Factor and Brain-Derived Neurotrophic Factor

Two-site enzyme immunoassays were performed to measure endogenous levels of brain-derived neurotrophic factor and nerve growth factor. Forebrain samples were sonicated in 50 mM Tris HCl, pH 7.0, 150 mM NaCl, 1% bovine serum albumin, 1% Triton X-100, 4  $\mu$ g/ml aprotinin and 0.5 mM phenylmethylsulfonyl fluoride, 2 mM EDTA, 0.1 mM benzethonium chloride, and 0.05% sodium azide, and they were then centrifuged (15,000 g) for 10 minutes. Nerve growth factor and brain-derived neurotrophic factor standards (1 to 500 pg/ml) were used to generate standard curves. The immunoplates were coated for 2 hours with purified monoclonal antibodies to nerve growth factor (0.67  $\mu$ g/ml) and brain-derived neurotrophic factor (100 ng/well), and tissue extracts (in duplicate with 10 mM CaCl<sub>2</sub>) were added. The trophic factors were quantified according to modifications of previously published methods (25, 26).

#### Radiochemicals

 $[^{3}H]$ Pirenzepine (85.6 Ci/mmol),  $[^{3}H]$ AFDX-384 (106.5 Ci/mmol), (±) $[^{3}H]$ epibatidine (33.8 Ci/mmol), (3- $[^{125}I]$ iodotyrosyl)-α-bungarotoxin (150 Ci/mmol),  $[^{3}H]$ nicotine (81.5 Ci/mmol), and  $[^{14}C]$ acetyl coenzyme A (58.9 mCi/mmol) were purchased from New England Nuclear Life Science Products, Inc. (Boston).

### Statistical Analysis

Since the different brain areas are functionally distinct, they were analyzed separately. Values for cortical nicotinic and muscarinic binding were analyzed by two-way analysis of variance (ANOVA) (general linear model, Minitab release 12 [Minitab, State College, Pa.]) with group and cortical layer as factors. If a statistically significant effect was demonstrated for group and/or cortical layer but there was no significant interaction between the factors, the data were analyzed as suggested by Kinnear and Gray (27), by one-way ANOVA followed by post hoc analysis using Fisher's pairwise comparison, with significance set at p<0.05 (Minitab release 12). Values for the forebrain were analyzed by t tests.

## Results

There were no significant abnormalities in the autistic or nonautistic groups of the presynaptic marker, choline acetyltransferase, in the frontal cortex, parietal cortex, or basal forebrain (Table 2 and Table 3), although there was a nonsignificant tendency for the activity to be higher in the basal forebrain in the autistic group. Since the values for cortical choline acetyltransferase activity did not differ significantly among groups, a detailed analysis of the acetylcholinesterase histochemical reactivity in the cortex was not undertaken. It was established by semiguantitative macroscopic assessment that the histochemical activity levels were similar in the autistic and normal groups. In the basal forebrain there was also no significant difference in the acetylcholinesterase activity measured biochemically, although, as with choline acetyltransferase, there was a nonsignificant tendency toward greater activity in the autistic group (Table 3). Of the two neurotrophin measurements investigated in the basal forebrain, the levels of nerve growth factor did not differ significantly, but the levels of brain-derived neurotrophic factor were significantly higher in the autistic group, three times as high as in the normal comparison group (Table 3).

Binding to the  $M_2$  receptor in the two cortical areas did not differ substantially between the autistic and other groups (Table 4). In contrast, cortical  $M_1$  receptor binding was lower in the autistic group (Table 5, Figure 1, Figure 2). This difference was apparent in both cortical areas and in both the outer and inner cortical layers, and for the pari-

TABLE 3. Multiple Measures of Cholinergic Homogenate Activ	vity in Basal Forebrain of Normal and Autistic Subjects
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	Cho Acetyltra (nmol·hr <sup>–1</sup> ·n	line Insferase ng <sup>–1</sup> protein)	Acetylchol (µmol∙min <sup>−1</sup> ·	inesterase mg <sup>–1</sup> protein)	Brain-D Neurotrop (pg∙mg <sup>–1</sup>	erived hic Factor protein)	Nerve Gro (pg·mg <sup>−1</sup>	wth Factor protein)	Nicotinic Receptor Binding (pmol·mg <sup>-1</sup> protein)	
Group <sup>a</sup>	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Normal (N=5)	14.44	12.20	1.55	1.18	11.44	5.26	22.52	17.35	1.25	1.17
Autism (N=5)	4/.8/	14.4/	5.62	6.53	36.52°	/.93	21.19	ö.52	2.89	1.62

<sup>a</sup> The mean ages were 17.77 years (SD=6.23) and 13.62 years (SD=5.86) for the normal and autistic groups, respectively.

<sup>b</sup> Significantly different from value for normal group (t=4.47, df=7, p=0.02, Student's t test).

TABLE 4. Muscarinic M <sub>2</sub> Receptor	Autoradiographic Binding in	Frontal and Parietal	Cortex of Autistic Subj	ects and Three
Comparison Groups				

	Frontal Cortex							Parietal Cortex						
		Inner Specific Binding of [ <sup>3</sup> H]AFDX-384 (fmol·mg <sup>-1</sup> tissue equivalents)			Outer			Inne	r	Outer				
					Specific Binding of [ <sup>3</sup> H]AFDX-384 (fmol·mg <sup>-1</sup> tissue equivalents)			Specific Binding of [ <sup>3</sup> H]AFDX-384 (fmol∙mg <sup>−1</sup> tissue equivalents)			Specific Binding of [ <sup>3</sup> H]AFDX-384 (fmol·mg <sup>-1</sup> tissue equivalents)			
Group	Ν	Mean	SD	N	Mean	SD	Ν	Mean	SD	Ν	Mean	SD		
Normal	7	3.52	1.84	7	4.38	1.99	5	13.90	4.56	5	17.14	7.14		
Autism	6	4.09	2.40	6	4.81	2.35	5	14.90	1.62	5	18.04	2.15		
Down's syndrome	3	4.58	0.31	3	4.42	0.28	3	16.04	3.36	3	18.48	3.79		
Other mental retardation	6	4.61	1.21	6	4.83	1.16	6	18.79	6.13	6	21.29	7.26		

etal cortex the autistic group was significantly different from both the normal group and the group with other mental retardation. There was, with the exception of one case, no overlap in M<sub>1</sub> receptor binding in the inner parietal cortex between the autistic and normal group or group with other mental retardation. The IC<sub>50</sub> values for pirenzepine displacement were  $1.86 \times 10^{-9}$  and  $2.03 \times 10^{-9}$ M in the two autistic subjects, whereas the mean for the three normal subjects was  $1.68 \times 10^{-9}$  M (SD= $0.28 \times 10^{-9}$ ).

With respect to nicotinic receptor binding, there was no abnormality of α-bungarotoxin binding in either cortical area in the autistic group (Table 6). By contrast, in both cortical areas the autistic group had significantly and substantially lower epibatidine binding (to 27%-35% of normal) than was found for the normal comparison group (Table 7, Figure 3, Figure 4); the differences were apparent in both the outer and inner cortical layers. Epibatidine binding was also significantly lower in the nonautistic mental retardation group, but not in the Down's syndrome group, than in the normal group. It is unlikely that the lower receptor level is due to a difference in tobacco use between the groups. Although smoking histories were not available for the series, if 50% of the normal group smoked (a rate higher than for the general population) and none of the autistic subjects smoked, the expected difference in epibatidine binding-based on a smoking-induced doubling of binding in the normal human cortex (28)-would not exceed 35%. Moreover, in the basal forebrain, [<sup>3</sup>H]nicotine binding was normal (Table 3). IC<sub>50</sub> values for epibatidine binding in the parietal cortex were  $7.9 \times 10^{-10}$  M and  $2.3 \times 10^{-10}$  M for the two normal subjects and  $9.1 \times 10^{-10}$  M and 10.7×10<sup>-10</sup> M for the two autistic subjects. According to Western blotting of samples from the parietal cortex, there was lower  $\alpha_4$  and  $\beta_2$ , but not  $\alpha_3$  or  $\alpha_7$ , immunoreacFIGURE 1. [<sup>3</sup>H]Pirenzepine Binding to Parietal Cortex of Representative Normal Subject and Autistic Subject



Normal Subject

**Autistic Subject** 

tivity in autism. The  $\alpha_4$  immunoreactivity was in two cases 2.2% and 5.1% of the mean value for the normal group, and  $\beta_2$  immunoreactivity was 18.1% and 43.1%.

There was no difference in cortical epibatidine or pirenzepine binding between normal subjects whose samples had been slow or snap frozen. The mean values for the inner frontal cortex for five snap-frozen and two slow-frozen samples were, respectively, 16.1 and 21.0 fmol·mg<sup>-1</sup> for epibatidine binding and 39.9 and 42.7 fmol·mg<sup>-1</sup> for pirenzepine binding.

## Discussion

This study indicates the potential importance of specific cholinergic receptors and neurotrophins in developmental neurobiology. The findings indicate that although cholinergic enzyme markers are normal in autism, many

			Fronta	al Cort	ex			Parietal Cortex						
		Inne	Inner		Outer Specific Binding of [ <sup>3</sup> H]Pirenzepine (fmol·mg <sup>-1</sup> tissue equivalents)			Inner Specific Binding of [ <sup>3</sup> H]Pirenzepine (fmol·mg <sup>-1</sup> tissue equivalents)			Outer Specific Binding of [ <sup>3</sup> H]Pirenzepine (fmol·mg <sup>-1</sup> tissue equivalents)			
		Specific Binding of [ <sup>3</sup> H]Pirenzepine (fmol·mg <sup>-1</sup> tissue equivalents)												
Group	Ν	Mean	SD	N	Mean	SD	Ν	Mean	SD	N	Mean	SD		
Normal	7	43.72	10.14	7	63.17	9.04	5	59.11	6.44	5	78.35	6.56		
Autism	6	32.19	16.45	6	51.41	24.03	5	41.09 <sup>b</sup>	17.55	5	56.53 <sup>b</sup>	23.83		
Down's syndrome	3	42.73	12.76	3	50.18	15.35	3	43.35	12.53	3	56.40	13.65		
Other mental retardation	6	51.49	17.97	6	66.95	22.74	6	59.47	18.90	6	74.42	22.66		

TABLE 5. Muscarinic M<sub>1</sub> Receptor Autoradiographic Binding in Frontal and Parietal Cortex of Autistic Subjects and Three Comparison Groups<sup>a</sup>

<sup>a</sup> Data in each region were analyzed for differences among groups and between cortical layers with two-way ANOVA. Only in the parietal cortex was there a significant difference between groups (F=3.61, df=3, 30, p=0.03). There was a significant difference between the inner and outer cortical layers for both the frontal cortex (F=8.15, df=1, 36, p=0.007) and parietal cortex (F=7.37, df=1, 30, p=0.02).

<sup>b</sup> Significantly lower than values for normal group and group with other mental retardation (Fisher's pairwise post hoc comparison, p<0.05).

# FIGURE 2. Individual Levels of [<sup>3</sup>H]Pirenzepine Binding in Inner and Outer Layers of Frontal and Parietal Cortex From Autistic Subjects and Three Comparison Groups



TABLE 6. Nicotinic Receptor [<sup>125</sup>I]α-Bungarotoxin Binding in Frontal and Parietal Cortex of Autistic Subjects and Three Comparison Groups

			Fronta	al Cor	tex		Parietal Cortex						
		Inner			Oute	r		Inne		Outer			
		Specific Binding of 1 nM [ <sup>125</sup> I]α-Bungaro- toxin (fmol·mg <sup>-1</sup> tissue equivalents)			Specific Binding of 1 nM [ <sup>125</sup> I]α-Bungaro toxin (fmol·mg <sup>-1</sup> tissue equivalents)		Specific Binding of 1 nM [ <sup>125</sup> I]α-Bungaro- toxin (fmol·mg <sup>-1</sup> tissue equivalents)				Specific Binding of 1 nM [ <sup>125</sup> I]α-Bungaro- toxin (fmol·mg <sup>-1</sup> tissue equivalents)		
Group	Ν	Mean	SD	N	Mean	SD	Ν	Mean	SD	Ν	Mean	SD	
Normal	6	0.52	0.25	6	0.84	0.29	5	0.49	0.10	5	1.05	0.27	
Autism	6	0.61	0.05	5	0.77	0.17	5	0.41	0.19	5	0.84	0.20	
Down's syndrome	3	0.72	0.20	3	1.05	0.35	3	0.42	0.11	3	0.76	0.10	
Other mental retardation	6	0.55	0.24	6	0.90	0.43	6	0.64	0.16	6	1.19	0.36	

nicotinic (high-affinity) and moderate muscarinic  $M_1$  receptor measures in the cerebral cortex are lower than normal, and the level of brain-derived neurotrophic factor in the basal forebrain is higher than normal.

## Normal Presynaptic Cholinergic Activity

In relation to the original hypothesis of basal forebrain cholinergic dysfunction, the finding of normal choline acetyltransferase activity both in the frontal and parietal cortex and in the basal forebrain suggests that the presynaptic cholinergic innervation of the cortex is structurally intact in autistic subjects. This indicates either that cholinergic neurons are not depleted or that compensatory axonal sprouting has occurred. In another developmental disorder, Rett's syndrome, in which levels of choline acetyltransferase and the vesicular acetylcholine

TABLE 7. Nicotinic Receptor –[ <sup>3</sup> H]Epibatidine Binding in Frontal and Parietal Cortex of Autistic Subjects and Three Comp	)ar-
ison Groups <sup>a</sup>	

	Frontal Cortex							Parietal Cortex						
		Inne	Inner		Oute	•		Inner			Outer			
		Specific Binding of [ <sup>3</sup> H]Epibatidine (fmol·mg <sup>-1</sup> tissue equivalents)			Specific Binding of [ <sup>3</sup> H]Epibatidine (fmol·mg <sup>-1</sup> tissue equivalents)			Specific Binding of [ <sup>3</sup> H]Epibatidine (fmol·mg <sup>-1</sup> tissue equivalents)			Specific Binding of [ <sup>3</sup> H]Epibatidine (fmol·mg <sup>-1</sup> tissue equivalents)			
Group	Ν	Mean	SD	N	Mean	SD	Ν	Mean	SD	N	Mean	SD		
Normal	7	17.42	10.47	7	14.90	9.20	5	27.82	18.26	5	25.75	17.18		
Autism	6	5.20 <sup>b</sup>	2.82	6	5.28 <sup>b</sup>	2.19	5	7.39 <sup>b</sup>	1.08	5	7.38 <sup>b</sup>	0.55		
Down's syndrome	3	11.39	3.61	3	10.75	3.32	3	15.38	0.96	3	13.00	2.79		
Other mental retardation	6	5.36 <sup>b</sup>	2.60	6	5.71 <sup>b</sup>	3.01	6	8.80 <sup>b</sup>	4.70	6	8.84 <sup>b</sup>	4.34		

<sup>a</sup> Data in each region were analyzed for differences among groups and between cortical layers by two-way ANOVA. There was a significant difference between groups for both the frontal cortex (F=10.30, df=3, 33, p<0.001) and parietal cortex (F=8.18, df=3, 29, p<0.001). <sup>b</sup> Significantly lower than value for normal group (Fisher's post hoc pairwise comparison, p<0.05).

transporter are lower than normal in various areas, including the cortex (29, 30), disruption of cholinergic innervation is likely due to developmental or degenerative neuronal abnormalities occurring before or shortly after birth in the absence of compensation.

## Low Binding of Muscarinic M<sub>1</sub> Receptors

The low M<sub>1</sub> receptor binding apparent in the parietal cortex of autistic subjects but not subjects with other types of mental retardation indicates a specific abnormality in cholinoceptive function, since the M<sub>1</sub> receptor is located postsynaptically. The binding abnormality reflected a low number of receptors. This could be related to epilepsy, which occurs in up to 40% of autistic children (31), since a low number has been reported in hippocampal sclerosis associated with temporal lobe epilepsy (32). The finding that a similar series of subjects had normal pirenzepine binding in the hippocampus (33), an area particularly susceptible in epilepsy, suggests another basis for the low number of receptors, such as dendritic dysfunction.

## Low Binding of High-Affinity Nicotinic Receptors

The lower degree of high-affinity nicotinic receptor binding in the cortex of the autistic subjects was extensive and likely, on the basis of the kinetic and immunochemical evidence, to reflect low receptor numbers. The lower epibatidine but not α-bungarotoxin binding is consistent with the preliminary immunoblot findings of lower immunoreactivity of the nicotinic  $\alpha_4$  and  $\beta_2$  subunits. Although values for the  $\alpha_4$  subunit and high-affinity nicotinic agonist binding site are elevated as a result of exposure to nicotine (18, 34), the lower levels of receptor binding in the autistic individuals in this study could not be attributed to differences in tobacco use between the different groups.

Relationships between low nicotinic receptor binding and synaptophysin identified in another cerebral disorder, Alzheimer's disease (35), suggest that the low receptor binding in autism may be associated with abnormal cortical neuronal morphology, possibly involving overextensive synaptic pruning. Knockout ( $\beta_2$ ) mice lacking nicotinic receptor binding develop a degree of age-related

FIGURE 3. [<sup>3</sup>H]Epibatidine Binding to Frontal and Parietal Cortex of Representative Normal Subject and Autistic Subject



cortical atrophy and neuronal loss in conjunction with cognitive impairment (36). Lower binding at the high-affinity nicotinic receptor agonist site not only in autism but also in the nonautistic mental retardation group could indicate that this condition is not specific to autism and that receptor loss may be a consequence rather than cause of cortical dysfunction. However, developmental brain abnormalities occur in both groups and an overlap in the processes involved would be expected.

Nicotinic agents are analgesic (37), and on the basis of a gene knockout model (38) the α4 subunit has been implicated in pain perception. Low levels of this receptor subtype in autism may thus be associated with the low degree of pain reactivity that is present in the disease (39). Since abnormal galvanic skin responses (peak amplitudes three times normal, number of arousal events per minute two times normal, and absence of a baseline) have been ob-





served in autism (unpublished 2000 study of P. Iversen and H. Ramshandran), and since such responses may depend on the integrity of sympathetic cholinergic neural pathways (40), it may be worth investigating the extent to which the central nervous system cholinergic abnormalities reported here extend to the peripheral nervous system. The finding that, in contrast to epibatidine binding,  $\alpha$ -bungarotoxin binding is normal in autism is interesting since the gene coding for the  $\alpha$ 7 subunit is located close to the q11-15 region of chromosome 15 (41), which is considered to be one of the likely sites of genetic abnormalities in autism (42).

#### High Level of Brain-Derived Neurotrophic Factor

The finding that the level of brain-derived neurotrophic factor was significantly higher than normal in the basal forebrain can be interpreted in various ways. Since brainderived neurotrophic factor is up-regulated by cholinergic activity in developing rat hippocampus (43), it is unlikely that the abnormality is due to developmental cholinergic dysfunction. One explanation for the high level, since neurotrophins influence the development and function of basal forebrain cholinergic neurons (3, 44), is that it reflects a regional compensatory mechanism. This may underlie the finding that levels of cholinergic biomarkers, such as choline acetyltransferase in the cortex and basal forebrain, were normal or even high. An alternative explanation is that overexpression of brain-derived neurotrophic factor is an intrinsic component of the autism disease process. The recent finding (45) of high blood levels of brain-derived neurotrophic factor, among three brain peptides or proteins (brain-derived neurotrophic factor, vasoactive intestinal peptide, and calcitonin gene-related peptide), in 62 of 64 newborn autistic and other mentally retarded individuals suggests an intrinsic rather than compensatory mechanism.

## **Comparison With Schizophrenia**

The overall pattern of cholinergic abnormalities in autism-low levels of muscarinic and nicotinic receptors in conjunction with normal levels of presynaptic cholinergic markers in the cortex-is more similar to that seen in schizophrenia than to patterns in other disorders involving a cholinergic abnormality, such as Rett's syndrome, Alzheimer's or Parkinson's disease, head injury, or vascular dementia. There is in common with schizophrenia a sparing of the presynaptic marker, choline acetyltransferase, but low levels of muscarinic and nicotinic receptors, although in schizophrenia the  $\alpha_7$  subtype may also be involved (46, 47). In schizophrenia there is also a high level of brain-derived neurotrophic factor but not nerve growth factor in cortical areas (48). There is an extensive overlap in clinical symptoms between autism and schizophrenia, both behaviorally and cognitively, e.g., in conceptual abnormalities (49). The same neural systems are likely to be involved in both, although differing in developmental staging and etiology.

### Conclusions

If the low level of cortical nicotinic receptors is consistently observed and clinically relevant, therapeutic strategies could include receptor agonists, such as nicotine, which has already been applied in Tourette's disorder with amelioration of symptoms (50). Such treatment could also be disease modifying. Nicotine significantly increases branching of both axons and dendrites in cultured hippocampal neurons (51). In adolescent rat brain, nicotine exposure results in persistent up-regulation of nicotinic receptors in a variety of brain areas, including the cortex (52), and in adult mouse brain chronic nicotine exposure promotes retention of nicotinic receptors that decline in aging (53).

On the basis of the present findings, the role of the cholinergic system in autism should be investigated further, with respect to other brain regions, additional cholinergic receptor subtypes, and clinical correlates and in younger individuals.

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